Edgar Filing: Altman Roger C - Form 4

Altman Roge Form 4											
September 1									PPROVAL		
FORM	4 UNITED S					NGE	COMMISSION	OMB	3235-0287		
Check thi	s box	```	Vashington,	D.C. 20	549			Number: Expires:	January 31,		
if no long subject to Section 10 Form 4 or Form 5	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Altman Roger C			suer Name and ol core Partners			ıg	5. Relationship of Reporting Person(s) to Issuer				
(Last)	e of Earliest Tr	_	, K		(Check all applicable)						
C/O EVERC INC., 55 EA 38TH FLOC	h/Day/Year) 7/2012	unsuetion			X Director 10% Owner X Officer (give title Other (specify below) below) Executive Chairman and Co-CEO						
(Street) 4. If Amer				mendment, Date Original Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
NEW YORK	K, NY 10055						Person	whole than one R	eporting		
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			4. Secur onAcquired Disposed (Instr. 3, Amount	d (A) o d of (D , 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Shares of Class A			Coue v	Amount	(D)	Thee					
common stock, par value \$0.01 per share	09/07/2012		A <u>(1)</u>	156	A	\$0	400,493	D			
Shares of Class A common stock, par value \$0.01	09/07/2012		A <u>(2)</u>	726	A	\$ 0	401,219	D			

per share								
Shares of Class A common stock, par value \$0.01 per share	09/07/2012	A <u>(3)</u>	346	А	\$ 0	401,565	D	
Shares of Class A common stock, par value \$0.01 per share	09/07/2012	A <u>(4)</u>	214	А	\$ 0	401,779	D	
Shares of Class A common stock, par value \$0.01 per share	09/07/2012	A <u>(5)</u>	725	A	\$ 0	402,504	D	
Shares of Class A common stock, par value \$0.01 per share	09/07/2012	A <u>(6)</u>	310	A	\$ 0	402,814	D	
Shares of Class B common stock, par value \$0.01 per share						1	D	
Shares of Class B common stock, par value \$0.01 per share						1	Ι	Share held by trust. (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I G G G G G G G G G G G G G G G G G G G	Director	10% Owner	Officer	Other			
Altman Roger C C/O EVERCORE PARTNERS INC. 55 EAST 52ND STREET, 38TH FLOOR NEW YORK, NY 10055	Х		Executive Chairman and Co-CEO				
Signatures							
/s/ Adam B. Frankel, as Attorney-in-Fact	09/11	/2012					
**Signature of Reporting Person	Da	te					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 19,834 unvested underlying RSUs (1) awarded on February 9, 2009. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 9, 2009.
- Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 92,593 unvested underlying RSUs (2) awarded on February 4, 2010. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 4, 2010.
- Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 44,151 unvested underlying RSUs (3) awarded on February 4, 2011. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 4, 2011.

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 27,289 unvested underlying RSUs (4) awarded on February 18, 2011. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 18, 2011.

Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 92,514 unvested underlying RSUs (5) awarded on February 6, 2012. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 6, 2012.

(6) Shares of Class A common stock are dividend equivalents in the form of restricted stock units on 39,491 unvested underlying RSUs awarded on February 15, 2012. The dividend equivalent RSUs will vest concurrent with the vesting of the unvested underlying RSUs awarded on February 15, 2012.

This share of Class B common stock is held in trust for the benefit of Mr. Altman's family. Mr. Altman disclaims beneficial ownership of (7) this share of Class B common stock, and the filing of this report is not an admission that Mr. Altman is the beneficial owner of this share

of Class B common stock for the purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.