

MONRO MUFFLER BRAKE INC

Form 4

November 16, 2012

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Van Heel John W

2. Issuer Name **and** Ticker or Trading
Symbol
MONRO MUFFLER BRAKE INC
[MNRO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

200 HOLLEDER PARKWAY

(Street)

ROCHESTER, NY 14615

3. Date of Earliest Transaction
(Month/Day/Year)
11/14/2012

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
HOLDINGS								44,887	D
Common Stock	11/14/2012	11/14/2012	J		43,018 <u>(1)</u>	D	\$ 31.67	1,869	D
Common Stock	11/14/2012	11/14/2012	M		112,500	A	\$ 12.11	114,369	D
Common Stock	11/14/2012	11/14/2012	M		6,750	A	\$ 6.6	121,119	D
Common Stock	11/15/2012	11/15/2012	S		20,000 <u>(2)</u>	D	\$ 31.56	101,119	D
	11/16/2012	11/16/2012	S			D		88,419	D

Edgar Filing: MONRO MUFFLER BRAKE INC - Form 4

Common	12,700	\$
Stock	<u>(2)</u>	31.52

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Options (Right to buy)	\$ 12.11	11/14/2012	11/14/2012	M	112,500	01/10/2008 01/09/2013	Common Stock 112,500
Options (Right to buy)	\$ 6.6	11/14/2012	11/14/2012	M	6,750	05/15/2003 05/14/2013	Common Stock 6,750

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Van Heel John W 200 HOLLEDER PARKWAY ROCHESTER, NY 14615	X Chief Executive Officer

Signatures

/s/ John W. Van
Heel 11/16/2012

**Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As permitted pursuant to the terms of the 2007 Stock Option Plan, the reporting person delivered these shares to the Issuer in order to pay for the exercise of 112,500 Non-qualified stock options reported on Table I and II. The shares were valued at the closing price for the

Edgar Filing: MONRO MUFFLER BRAKE INC - Form 4

Issuer's stock on November 14, 2012, the date on which the reporting person delivered these shares and exercised the options.

- (2) The reporting person sold stock to pay taxes related to the exercise of options on November 14, 2012. The reporting person's holdings of the Issuer's stock increased by 43,532 shares as a result of the stock option exercises and related sales.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.