Papastavrou Jason D Form 4 December 20, 2012

FORM 4 ...

\$0.001 per share

Common Stock, par

\$0.001 per share

value

OMB APPROVAL

FUNIVI	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20540							CIVID	3235-0287		
Check this box if no longer subject to Section 16. SECURITIES Washington, D.C. 20549 Number: Expires: January 31, 2005 Estimated average burden hours per								ırs per			
(Print or Type R	esponses)										
Papastavrou Jason D Symbol				Name and			g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) SPRINGER WEALTH MANAGEMENT LLC, 152 W. 57TH STREET, 19TH FLOOR			3. Date of Earliest Transaction (Month/Day/Year) 12/20/2012					_X_ Director 10% Owner Officer (give title below) Other (specify below)			
Filed(N				Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
NEW YORK	K, NY 10019							Person	note than one R	porting	
(City)	(State)	(Zip)	Table 1	I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Oate, if y/Year)	3. Transactic Code (Instr. 8)	Disposed (Instr. 3,	(A) of (D) 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par				Code V		(D)	Price				
value	12/20/2012			M	2,500	A	<u>(11)</u>	10,000	D		

See

footnote (1)

1,375

I

Edgar Filing: Papastavrou Jason D - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(11)	12/20/2012		M		2,500	<u>(12)</u>	(12)	Common Stock, par value \$0.001 per share	2,500
Restricted Stock Units	(11)						(2)	<u>(2)</u>	Common Stock, par value \$0.001 per share	2,500
Director Stock Options (right to buy)	\$ 16.74						(3)	12/11/2022	Common Stock, par value \$0.001 per share	8,000
Director Stock Options (right to buy)	\$ 9.28						09/02/2012	11/21/2021	Common Stock, par value \$0.001 per share	8,000
See footnote (4)	\$ 7 <u>(5)</u>						09/02/2011	<u>(6)</u>	Common Stock, par value \$0.001 per share	92,857 <u>(7)</u>
Warrants	\$ 7 <u>(9)</u>						09/02/2011	09/02/2021	Common Stock, par	92,857 (10)

value \$0.001 per share

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Papastavrou Jason D SPRINGER WEALTH MANAGEMENT LLC 152 W. 57TH STREET, 19TH FLOOR NEW YORK, NY 10019



Signatures

Gordon E. Devens, Attorney in Fact 12/20/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Brett A. Athans Declaration of Trust is the direct beneficial owner of these securities. Jason D. Papastavrou is the trustee of the Brett A. Athans Declaration of Trust.
- The Restricted Stock Units shall vest on December 11, 2013, subject to the Reporting Person's continued service as a director of the Issuer.
- (3) The Director Stock Options shall vest and become exercisable on December 11, 2013, subject to the Reporting Person's continued service as a director of the Issuer.
- (4) Series A Convertible Perpetual Preferred Stock, par value \$0.001 per share.
- The initial conversion price of the Series A Convertible Perpetual Preferred Stock is \$7 per share of Common Stock, subject to adjustment as set forth in the Certificate of Designation of Series A Convertible Perpetual Preferred Stock, filed as Exhibit 4.1 to the Issuer's Current Report on Form 8-K filed with the SEC on September 6, 2011 (the "Certificate of Designation").
- (6) The Series A Convertible Perpetual Preferred Stock has no expiration date.
- (7) Represents 92,857 shares of Common Stock initially issuable upon conversion of 650 shares of Series A Convertible Perpetual Preferred Stock, subject to adjustment as set forth in the Certificate of Designation.
- Springer Wealth Management LLC is the direct beneficial owner of these securities. Jason D. Papastavrou is the owner of 100% of the equity interests of Springer Wealth Management LLC.
- The initial exercise price of the Warrants is \$7 per share of Common Stock, subject to adjustment as set forth in the Form of Warrant Certificate, filed as Exhibit 4.2 to the Issuer's Current Report on Form 8-K filed with the SEC on September 6, 2011 (the "Warrant Certificate").
- (10) Represents 92,857 shares of Common Stock initially issuable upon the exercise of 92,857 Warrants, subject to adjustment as set forth in the Warrant Certificate.
- Each Restricted Stock Unit represents a contingent right to receive, upon settlement, either (i) one share of Common Stock or (ii) a cash payment equal to the fair market value of one share of Common Stock.
- (12) These Restricted Stock Units vested in full on September 2, 2012 and were settled on December 20, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

Edgar Filing: Papastavrou Jason D - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.