Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4 July 01, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * CHENAULT KENNETH I

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Director Officer (give title below)

10% Owner Other (specify

AMERICAN EXPRESS COMPANY, WORLD FINANCIAL

CENTER, 200 VESEY ST.

4. If Amendment, Date Original

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street)

Filed(Month/Day/Year)

06/30/2013

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10285

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3, 4 and 5)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

1. Title of	2.	3. Transaction Date (Month/Day/Year)		4.	5. Nui	mber	6. Date Exercisable and		7. Title and Amount of		8. Price
Derivative	Conversion			Transactionof			Expiration Date		Underlying Securities		Derivati Security
Security	or Exercise			Code	Derivative		(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities Acquired						(Instr. 5
	Derivative										
	Security				(A) or						
					Disposed						
					of (D)						
					(Instr.	3, 4,					
					and 5))					
				Code V	7 (A)	(D)	Date	Expiration	Title	Amount	
				Couc ((11)	(2)	Exercisable	Date	1100	or	
							Excreisable	Buic		Number	
										of	
										Shares	
										5111105	
Promised	1 00(1)	06/20/2012		A (2)	220		(3)	(3)	Common	220	\$
Fee Shar	$e $0 \frac{(1)}{2}$	06/30/2013		$A^{(2)}$	329		(3)	(3)	Stock	329	190.5

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CHENAULT KENNETH I AMERICAN EXPRESS COMPANY WORLD FINANCIAL CENTER, 200 VESEY ST. NEW YORK, NY 10285

X

Signatures

D. Cummins on behalf of K. I. Chenault

07/01/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2