

QUAKER CHEMICAL CORP

Form 4

August 15, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BARRY MICHAEL F

(Last) (First) (Middle)

**QUAKER CHEMICAL
CORPORATION, ONE QUAKER
PARK, 901 E. HECTOR STREET**

(Street)

CONSHOHOCKEN, PA 19428-2380

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
**QUAKER CHEMICAL CORP
[KWR]**

3. Date of Earliest Transaction
(Month/Day/Year)
08/13/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	08/13/2013		M		16,335	A	\$ 18.82	168,798	D
Common Stock	08/13/2013		F		9,860	D	\$ 71.05	158,938	D
Common Stock	08/13/2013		M		3,234	A	\$ 37.37	162,172	D
Common Stock	08/13/2013		F		2,406	D	\$ 71.05	159,766	D
	08/13/2013		M		5,909	A		165,675	D

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Common Stock					\$ 37.37			
Common Stock	08/13/2013	F	4,398	D	\$ 71.05	161,277	D	
Common Stock	08/13/2013	M	2,622	A	\$ 38.13	163,899	D	
Common Stock	08/13/2013	F	1,407	D	\$ 71.05	162,492	D	
Common Stock	08/13/2013	M	2,730	A	\$ 38.13	165,222	D	
Common Stock	08/13/2013	F	2,047	D	\$ 71.05	163,175	D	
Common Stock						1,025	I	By son
Common Stock						31,524 ⁽¹⁾	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 18.82	08/13/2013		M		16,335		<u>(2)</u>	01/26/2017	Common Stock	16,335
Employee Stock Option (right to	\$ 37.37	08/13/2013		M		3,234		<u>(3)</u>	03/01/2018	Common Stock	3,234

buy)

Employee

Stock

Option	\$ 37.37	08/13/2013	M	5,909	<u>(3)</u>	03/01/2018	Common Stock	5,909
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(right to

buy)

Employee

Stock

Option	\$ 38.13	08/13/2013	M	2,622	<u>(4)</u>	03/06/2019	Common Stock	2,622
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(right to

buy)

Employee

Stock

Option	\$ 38.13	08/13/2013	M	2,730	<u>(4)</u>	03/06/2019	Common Stock	2,730
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(right to

buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARRY MICHAEL F QUAKER CHEMICAL CORPORATION ONE QUAKER PARK, 901 E. HECTOR STREET CONSHOHOCKEN, PA 19428-2380	X		Chairman, CEO and President	

Signatures

Irene M. Kisleiko, Attorney-in-Fact for Michael F. Barry

08/15/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Information based on reporting person's Plan statement as of June 30, 2013.

(2) The options for this grant vested in three annual installments: 16,336 shares on January 26, 2011; 16,335 shares on January 26, 2012; and 16,335 shares on January 26, 2013.

(3) The options for this grant vest in three annual installments: 5,909 shares on March 1, 2012; 5,909 shares on March 1, 2013; and 5,908 shares on March 1, 2014.

(4) The options for this grant vest in three annual installments: 5,352 shares on March 6, 2013; 5,352 shares on March 6, 2014; and 5,351 shares on March 6, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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