INTERNATIONAL BUSINESS MACHINES CORP
Form 3
January 03, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Schroeter Martin J
(Last) (First) (Middle)

IBM CORPORATION,Â ONE NEW ORCHARD ROAD
(Street)

ARMONK,Â NYÂ 10504
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
01/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
INTERNATIONAL BUSINESS MACHINES CORP [IBM]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Sr. VP and CFO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	3,961.5944	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Title			

		Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Emp. Stock Option (right to buy)	05/08/2009 (1)	05/07/2016	Common Stock	213	$ 82.76	D	Â
Emp. Stock Option (right to buy)	05/08/2010 (1)	05/07/2017	Common Stock	678	$ 102.8	D	Â
Rst. Stock Unit	Â (2)	Â (2)	Common Stock	4,386	$ 0	D	Â
Rst. Stock Unit	Â (3)	Â (3)	Common Stock	8,000	$ 0	D	Â
Rst. Stock Unit	Â (4)	Â (4)	Common Stock	4,951	$ 0	D	Â
Phantom Stock Unit	Â (5)	Â (5)	Common Stock	6,950.1822	$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schroeter Martin J IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK,Â NYÂ 10504	Â	Â	Â Sr. VP and CFO	Â

Signatures

D. Cummins on behalf of M. J. Schroeter	01/03/2014
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This grant vested in its entirety on the date shown above.

(2) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 21, 2014.

(3) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 22, 2015.

(4) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.

(5) Phantom stock units acquired under the IBM Excess 401(k) Plus Plan convert to the cash value of the company's common stock on a one-for-one basis, and distribution is deferred until separation from the company. The reporting person may transfer some of these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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