Bank of New York Mellon Corp Form 5

February 14, 2014

Common

Common

Stock

Stock

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9,739

9,739

\$0

\$0

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Α

9,739

9,739

I

I

12/13/2013

12/13/2013

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer GIBBONS THOMAS P Symbol Bank of New York Mellon Corp (Check all applicable) [BK] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Other (specify \_ Officer (give title (Month/Day/Year) below) below) 12/31/2013 Vice Chairman & CFO THE BANK OF NEW YORK MELLON CORPORATION, ONE WALL STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) NEW YORK, Â NYÂ 10286 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. 7. Nature of Transaction (A) or Disposed of Ownership Security (Month/Day/Year) Execution Date, if Securities Indirect (Instr. 3) Code (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end of Direct (D) Ownership Issuer's Fiscal or Indirect (Instr. 4) (A) Year (I) or (Instr. 3 and 4) (Instr. 4) (D) Price Amount By Common 12/13/2013 Â G 38,956 D \$0 0 Ι **GRAT** Stock

2011

#1

#2

By Child

By Child

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Reminder: Report on a separate line for each class of			Persons v	nation	SEC 2270				
Common Stock	Â	Â	Â	Â	Â	Â	36,416	I	By Family Trust #4
Common Stock	Â	Â	Â	Â	Â	Â	36,416	I	By Family Trust #3
Common Stock	Â	Â	Â	Â	Â	Â	36,416	I	By Family Trust #2
Common Stock	Â	Â	Â	Â	Â	Â	36,419	I	By Family Trust #1
Common Stock	Â	Â	Â	Â	Â	Â	222,756.0843 (1)	D	Â
Common Stock	12/13/2013	Â	G	9,739	A	\$ 0	9,739	I	By Child #4
Common Stock	12/13/2013	Â	G	9,739	A	\$ 0	9,739	I	By Child #3

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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the form displays a currently valid OMB control number.

(9-02)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	unt of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	vative		Secur	rities	(Instr. 5)	Se
	Derivative				Securities			(Instr	. 3 and 4)		В
	Security				Acquired						О
					(A) or						Eı
					Disposed						Is
					of (D)						Fi
					(Instr. 3,						(I
					4, and 5)						
									Amount		
									or		
						Date	Expiration	Title	Number		
						Exercisable	Date	11110	of		
					(A) (D)				Shares		
					(12) (D)				5114105		

# **Reporting Owners**

securities beneficially owned directly or indirectly.

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
GIBBONS THOMAS P	Â	Â	Vice	Â		
THE BANK OF NEW YORK MELLON CORPORATION			Chairman &			

Reporting Owners 2 ONE WALL STREET NEW YORK, NYÂ 10286 **CFO** 

# **Signatures**

/s/ Craig T. 02/14/2014 Beazer

\*\*Signature of
Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon termination of GRAT 2011, 9,739 shares were distributed directly to each of the beneficiaries of the GRAT (for a total of 38,956 shares) and the remaining 42,828 shares were distributed to the Reporting Person and are reported as directly held.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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