Bank of New York Mellon Corp Form 4

February 21, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HASSELL GERALD L

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

Bank of New York Mellon Corp

(Check all applicable)

Chairman & CEO

(Last) (First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

[BK]

02/19/2014

X\_ Officer (give title below)

\_X\_\_ Director

10% Owner Other (specify

THE BANK OF NEW YORK

(Street)

MELLON CORPORATION, ONE WALL STREET

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10286

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative Secu	rities A	cquir	ed, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	•			5. Amount of 6. Securities Ow Beneficially For Owned Direction Following or I	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount 39,526.0432	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/19/2014		A	(1)	A	\$0	670,790.7441	D	
Common Stock	02/19/2014		A	140,196 (2)	A	\$ 0	810,986.7441	D	
Common Stock							52,887.0276 (3)	I	By 401(k) Plan
Common Stock							112,140	I	By Family

#### Edgar Filing: Bank of New York Mellon Corp - Form 4

			Trust
Common Stock	112,140	I	By Family Trust #2
Common Stock	56,604	I	By Wife
Common Stock	15,056	I	By GRAT 2010-1
Common Stock	34,618	I	By GRAT 2010-2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration		or	
						Exercisable	Date		Number	
				G 1 W	(A) (B)				of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HASSELL GERALD L THE BANK OF NEW YORK MELLON CORPORATION ONE WALL STREET NEW YORK, NY 10286	X		Chairman & CEO				

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## **Signatures**

/s/ Craig T. Beazer, Attorney-in-Fact

02/21/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents previously granted Performance Share Units (including units from reinvested dividend equivalents) for which performance (1) goals have been achieved, as certified on February 19, 2013. Each unit represents the right to one share of the Issuer's common stock. These units are scheduled to vest on February 21, 2016 and remain subject to risk-based adjustment provisions.
- Award of Restricted Stock Units under The Bank of New York Mellon Corporation Long-Term Incentive Plan. Units vest in annual
- (2) increments of one-third beginning on first anniversary of the award. All or a portion of the units may be forfeited prior to vesting based on ongoing risk-based adjustment provisions. Vested units will be settled in Common Stock.
- (3) Holdings reported as of 02/07/2014.
- (4) I disclaim beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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