RR Donnelley & Sons Co Form 4 March 04, 2014

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per response...

5 D 1 (\* 1 \* CD - (\* D - ( ) (

Estimated average 0.5

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and Address of Reporting Person * BETTMAN SUZANNE S				son <u>*</u>	2. Issuer Name and Ticker or Trading Symbol RR Donnelley & Sons Co [RRD]					Iss	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
]	(Last)	(First) WACKER I	(Midd	Í	3. Date of Ea (Month/Day, 02/28/201	/Year)	ans	saction			Director X Officer (give ti	10%	Owner r (specify	
(	CHICAGO, II	(Street)			4. If Amenda Filed(Month/			Original		Ap _X	Individual or Join oplicable Line)  To Form filed by One Form filed by Mostron	e Reporting Per	son	
	(City)	(State)	(Zip	))	Table I	- Non-D	eri	ivative Sec	uritie	s Acquir	ed, Disposed of, o	or Beneficiall	y Owned	
5	I.Title of Security (Instr. 3)	any			eemed tion Date, if h/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D Code (Instr. 3, 4 and 5) (Instr. 8)				of (D)		6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
,	Common					Code	V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
( f	Stock (withholding for tax liability)	02/28/201	4			F(1)		3,235	D	\$ 19.13	197,385	D		
( f	Common Stock (withholding for tax liability)	03/02/201	4			F <u>(1)</u>		9,301	D	\$ 19.13	188,084	D		
	Common Stock	03/03/201	4			A		26,000	A	<u>(2)</u>	214,084 (3)	D		

Common Stock 347 I  $\frac{\text{By}}{401(k)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	' (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BETTMAN SUZANNE S 111 SOUTH WACKER DRIVE CHICAGO, IL 60606

Exec VP/General Counsel

#### **Signatures**

Jennifer Reiners, pursuant to power of attorney 03/04/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld as payment of a tax liability incident to vesting of restricted stock units issued in accordance with Rule 16b-3.
- (2) Company granted restricted stock units (RSUs) issued pursuant to Rule 16b-3 Plan. The RSUs vest in four equal annual installments beginning on March 2, 2015.
- (3) Includes 98,861 shares held directly, 2,973 shares held through dividend reinvestment plan and 112,250 restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: RR Donnelley & Sons Co - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.