URANIUM ENERGY CORP

Form 4

September 05, 2014

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DELLA VOLPE VINCENT

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

URANIUM ENERGY CORP [UEC]

(Check all applicable)

(First) (Last)

32 EVERGREEN DRIVE

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Code

(Month/Day/Year)

(Instr. 8)

X_ Director 10% Owner Officer (give title

09/03/2014

below)

Other (specify

6. Individual or Joint/Group Filing(Check

(Instr. 4)

Filed(Month/Day/Year) Applicable Line)

Disposed of (D)

(Instr. 3, 4 and 5)

(A)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LINCOLN PARK, NJ 07032

Security

(Instr. 3)

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Street)

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

Following Reported

Owned

Transaction(s)

or Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

Price of

(Instr. 3)

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or 6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amoun Underlying Securiti (Instr. 3 and 4)

1

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Derivative Disposed of (D) Security (Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable Expiration

Date

Title Amou Numb

Share

Options

Common \$ 1.32 09/03/2014 $A^{(1)}$ 175,000 12/03/2014⁽²⁾ 09/03/2019 175, to stock

Purchase

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

DELLA VOLPE VINCENT 32 EVERGREEN DRIVE X LINCOLN PARK, NJ 07032

Signatures

/s/ Vincent Della 09/03/2014 Volpe

**Signature of Reporting Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to and in accordance with the Company's stock option plan.
- Options vest over an 18-month period (25% 3 months after grant, 25% 6 months after grant, 25% 12 months after grant and the final 25% **(2)** 18 months after grant).
 - In addition to the options to purchase 175,000 shares as disclosed above, the Reporting Person also holds options to purchase 100,000 shares at an exercise price of \$0.45 per share expiring on November 1, 2017, 50,000 shares at an exercise price of \$0.45 per share
- (3) expiring on April 7, 2018, 50,000 shares at an exercise price of \$2.40 per share expiring on August 26, 2019, 45,000 shares at an exercise price of \$2.43 per share, expiring on August 13, 2020, and 25,000 shares at an exercise price of \$2.78 per share, expiring on September

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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