Edgar Filing: SCHWAB CHARLES CORP - Form 4

SCHWAB CHARLES CORP

Form 4

February 27, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Ad Lepore, Dawn (ame and Ti es Schwab		CH) Po	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) The Charles Sc 120 Kearny Str	of Rep	ortin	entification g Person, (voluntary)		Mont	n/Day/ Year uary 25, 2003	Director 10% Owner X Officer (give title below) Other (specify below)				
San Francisco,					Date	Amendment, 7. of Original (Conth/Day/Year)	Derations and Administration Individual or Joint/Group Filing Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)) (State)	(Zip)	,	Tabl	le I Non-	Deriva	ative Secu	rities Acquired, Dispose			
1. Title of Security (Instr. 3)	curity action Execution		3. Transaction Code (Instr. 8		4. Securitie or Dispose (Instr. 3, 4	d of (I))	5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial I) Ownership (Instr. 4)	
Common Stock	2/25/03		A (1)		99,010	(D) A		(Instr. 3 & 4) 100,938.65	D		
Common Stock	:							6,306.62	I	By 401(k)	
Common Stock	:							263,787.88	I	By ESOP	
Common Stock	:							21,120.03	I	By Spouse	
Common Stock	2/25/03		S		10,000	D	\$7.6810	222,532.01	I	By Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conver-		3A. Deemed	4. Trans-	5.		6. Date Exerc				8. Price of Derivative			11. Nature of Indirect
						1								
Security				action	of		Date			, .	_			Beneficial
	Price of	Date	Date,	Code	De	rivati	(MeIonth/Day/		Secui	rities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative		if any		Se	curiti	e ¥ear)		(Instr	. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	(Month/	(Month/	(Instr.	Ac	quire	d					Following	ative	
		-		8)	(A	or (Reported	Security:	
		Year)	Year)		Di	spose	d					Transaction(s)	Direct	
					of	(D)						(Instr. 4)	(D)	
													or	
					(In	str.							Indirect	
					3,	4 &							(I)	
					5)								(Instr. 4)	
				Code	V (A	(D)	Date	Expira-	Title	Amount				
					ľ		Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				

Explanation of Responses:

(1) The restricted stock was granted pursuant to the Company's 1992 Stock Incentive Plan and/or 2001 Stock Incentive Plan and vests according to the provisions of those plans.

By: /s/ Jane Fry, Attorney-in-fact
Dawn G. Lepore
**Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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POWER OF ATTORNEY

(1) Execute on my behalf and in my capacity as an officer and/or director of the Company, Form
(2) Perform any and all acts on my behalf which may be necessary or desirable to complete and
(3) Take any other action in connection with the foregoing which, in the opinion of such attorned to each such attorney-in-fact full power and authority to do and perform any act necessary acknowledge that the attorneys-in-fact, in serving in such capacity at my request, are not assumed.

This Power of Attorney shall remain in full force and effect until I am no longer required to fil

I, Dawn G. Lepore, appoint each of Carrie Dwyer, W. Hardy Callcott, Willie C. Bogan, R. Scott McM

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).