MITEL NETWORKS CORP Form 144 September 08, 2014

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**UNITED STATES** 

**SEC USE ONLY** 

DOCUMENT SEQUENCE NO.

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**CUSIP NUMBER** 

WORK LOCATION

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker

1(a) NAME OF ISSUER (Please type or print)

(b) IRS IDENT. NO. (c) S.E.C. FILE NO.

**Mitel Networks Corporation** 

98-0621254

350 Legget

**Drive** 

001-34699

1(d) ADDRESS OF ISSUER STREET CITY

STATE

ZIP CODE (e) TELEPHONE NO.

350 Legget Drive

Ottawa Ontario, Canada

**K2K 2W7** AREA CODE NUMBER 592-2122 613

(b) RELATIONSHIP T(x) ADDRESS STREET CITY

**STATE** ZIP CO

NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD

**ISSUER** 

WE Inc.

Director and

thony Shen)

**Chief Operating** 

Ottawa Ontario,

Canada

**K2K 2** 

Officer

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

SEC

|   |                          | 520               |                                    |                      |                                 |                      |
|---|--------------------------|-------------------|------------------------------------|----------------------|---------------------------------|----------------------|
| 3(a)  | <i>(b)</i>               | USE ONL           | $(\mathbf{Y}c)$                    | (d) $(e)$            | <i>(f)</i>                      | <i>(g)</i>           |
| Title of the                                | ]                        | Broker <b>-Me</b> | dber of Sl                         | haggsAguteber of S   | har <b>e</b> spproximate        | Name of Each         |
|   |                          |                   |                                    | N. 1 . 0.1 . W       |                                 | g                    |
| Class of Name and Address of Each Brokefile |                          | Brokefile         | or Market Other Units Date of sale |                      | Securities                      |                      |
|   | Through Whom             | Number            | Other                              | Value                |                                 |                      |
| <b>Securities</b>                           |                          |                   | Units                              | Outstandi            | $\mathbf{ng}$ (See instr. 3(f)) | Exchange             |
|   | the Securities are       |                   |                                    |                      |                                 |                      |
| to be Sold                                  | to be Offered or Each Ma | rket              | to be                              | (See                 | (MO. DAY YR.)                   | (See instr. $3(g)$ ) |
|   |                          |                   | Sold(S                             | ee instr. 3(d)instr. |                                 |                      |
|   | Maker who is             |                   |                                    | 3(e)                 |                                 |                      |
|   | Acquiring the Securitie  | es                | (See                               |                      |                                 |                      |
|   |                          |                   | instr.                             |                      |                                 |                      |
|   |                          |                   | 3(c)                               |                      |                                 |                      |
| Common                                      | <b>TD Wealth Private</b> |                   | 297,875                            | \$3,001,8400,818,36  | 64 08-25-14 to                  | <b>NASDAQ</b>        |
| stock                                       | <b>Investment Advice</b> |                   |                                    |                      | 09-02-14                        |                      |
|   |                          |                   |                                    |                      |                                 |                      |
|   | 66 Wellington St. W., 2  | nd                |                                    |                      |                                 |                      |
|   | Floor                    |                   |                                    |                      |                                 |                      |
|   |                          |                   |                                    |                      |                                 |                      |
|   | Toronto, ON M5K 1A       | 2                 |                                    |                      |                                 |                      |

#### **INSTRUCTIONS:**

- 1. (a) Name of Issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of Person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to

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(08-07)

#### TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

| Title Date you  |                                       | Name of Person from Whom Acqui          | ired Date of                         |           |
|-----------------|---------------------------------------|---|--------------------------------------|-----------|
|                 |                                       |   | Amount of                            | Nature of |
| the Clascquired | Nature of Acquisition Transaction     | (If gift, also give date donor ac speix | <i>wrlt</i> ies Ac <b>Paired</b> ent | Payment   |
| COMMON          | Pursuant to merger of Aastra          |   |                                      |           |
| STOCK           | <b>Technologies Limited and Mitel</b> | Mitel Networks Corporation              | 08-25-14 to                          |           |
| 1-31-14         | <b>Networks Corporation</b>           | (Issuer)                                | 1,835,3949-02-14                     | Cash      |

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

#### TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

| Name and Address of<br>Seller<br>TMWE Inc.(1) | Title of Securities Sold<br>Common Stock | Date of Sale<br>05-25-14 | Amount of<br>Securities Sold<br>61,475 | Gross Proceeds<br>617,209 |
|---|--|--------------------------|--|---------------------------|
| 119 Scollard St., Toronto,<br>Ontario,        |  |                          |  |                           |
| Canada, M5R 1G4<br>TMWE Inc. (1)              | Common Stock                             | 08-26-14                 | 7,400                                  | 73,482                    |
| 119 Scollard St., Toronto,<br>Ontario,        |  |                          |  |                           |
| Canada M5R 1G4<br>TMWE Inc. (1)               | Common Stock                             | 08-27-14                 | 64,300                                 | 653,288                   |

| 119 Scollard St., Toronto,<br>Ontario, |              |          |        |         |
|--|--------------|----------|--------|---------|
| Canada M5R 1G4<br>TMWE Inc. (1)        | Common Stock | 08-28-14 | 78,400 | 794,976 |
| 119 Scollard St., Toronto,<br>Ontario, |              |          |        |         |
| Canada M5R 1G4<br>TMWE Inc. (1)        | Common Stock | 08-29-14 | 21,000 | 213,150 |
| 119 Scollard St., Toronto,<br>Ontario, |              |          |        |         |
| Canada M5R 1G4<br>TMWE Inc. (1)        | Common Stock | 09-02-14 | 65,300 | 649,735 |
| 119 Scollard St., Toronto,<br>Ontario, |              |          |        |         |

Canada M5R 1G4 REMARKS:

<sup>(1)</sup> Anthony Shen, director and Chief Operating Officer of Mitel Networks Corporation, is the beneficial owner of the shares of common stock sold by TMWE Inc.

#### **INSTRUCTIONS:**

See the definition of person in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

#### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written plan or given trading instructions to satisfy Rule 10b5-1 under the exchange act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date

9-04-2014

/s/ Anthony Shen

DATE OF NOTICE

(SIGNATURE)

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

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