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TRI-CONTINENTAL CORP

Form NSAR-A

August 26, 2015

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000 A000000 06/30/2015
000 C000000 0000099614
000 D000000 N
000 E000000 NF
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
008 D020001 MA
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010 C010001 BOSTON
010 C020001 MA
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012 B000001 84-05685
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012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
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014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
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PAGE 2
014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 A000005 BANCO NACIONAL DE MEXICO, S.A.
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015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 B000026 S
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015 A000029 FIRSTRAND BANK LIMITED
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015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
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015 C010038 AMMAN
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015 A000049 JPMORGAN CHASE BANK, N.A.
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015 D010049 HONG KONG
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015 C010050 MUMBAI

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015 D010050 INDIA
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015 C010051 WELLINGTON
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015 D010058 NORWAY
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015 A000062 ROYAL BANK OF CANADA
015 B000062 S
015 C010062 TORONTO

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015 D010062 CANADA
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015 A000063 SANTANDER SECURITIIES SERVICES, S.A.
015 B000063 S
015 C010063 MADRID
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015 B000064 S
015 C010064 ALMATY
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015 A000065 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000065 S
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015 D010070 NAMIBIA
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015 D010071 JORDAN
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PAGE 9
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015 D010072 BANGLADESH
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015 A000073 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
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015 A000074 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 C010074 BANGKOK
015 D010074 THAILAND

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015 C010075 GABORONE
015 D010075 BOTSWANA
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015 A000076 STANDARD CHARTERED BANK COTE D'IVOIRE SA
015 B000076 S
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015 D010076 IVORY COAST
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015 A000077 STANDARD CHARTERED BANK GHANA LIMITED
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015 A000084 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000084 S
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 EBENE
015 D010085 MAURITIUS
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 TAGUIG CITY
015 D010086 PHILIPPINES
015 E040086 X

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015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 COLOMBO
015 D010087 SRI LANKA
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015 C010088 ZURICH
015 D010088 SWITZERLAND
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015 D010089 AUSTRIA
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015 A000094 JPMORGAN CHASE BANK, N.A.
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015 D010094 CHINA
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020 C000001 52
020 A000002 RBC CAPITAL MARKETS CORPORATION
020 B000002 13-5033225
020 C000002 26
020 A000003 CREDIT SUISSE SECURITIES (USA) L.L.C.

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020 B000003 13-5659485
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020 A000008 J.P. MORGAN SECURITIES, INC.
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070 J010000 Y

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| | | | |
|-------|---------|----|---------|
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | Y | |
| 070 | Q010000 | Y | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 570351 |
| 071 | B000000 | | 587456 |
| 071 | C000000 | | 1537734 |
| 071 | D000000 | | 37 |
| 072 | A000000 | | 6 |
| 072 | B000000 | | 9611 |
| 072 | C000000 | | 18439 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2758 |
| 072 | G000000 | | 426 |
| 072 | H000000 | | 0 |
| | PAGE | 17 | |
| 072 | I000000 | | 291 |
| 072 | J000000 | | 11 |
| 072 | K000000 | | 46 |
| 072 | L000000 | | 0 |
| 072 | M000000 | | 31 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 20 |
| 072 | S000000 | | 23 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 39 |
| 072 | W000000 | | 148 |
| 072 | X000000 | | 3793 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 24257 |
| 072AA | 000000 | | 81507 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 75402 |
| 072DD | 010000 | | 24476 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.4060 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 0 |
| 074 | B000000 | | 0 |
| 074 | C000000 | | 0 |

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| | | |
|-----|---------|---------|
| 074 | D000000 | 347713 |
| 074 | E000000 | 152163 |
| 074 | F000000 | 998328 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 23123 |
| 074 | J000000 | 14192 |
| 074 | K000000 | 0 |
| 074 | L000000 | 6923 |
| 074 | M000000 | 44 |
| 074 | N000000 | 1542486 |
| 074 | O000000 | 7609 |
| 074 | P000000 | 121 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 1344 |
| 074 | S000000 | 37637 |
| | PAGE | 18 |
| 074 | T000000 | 1495775 |
| 074 | U010000 | 60095 |
| 074 | U020000 | 0 |
| 074 | V010000 | 24.89 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 14590 |
| 074 | Y000000 | 463 |
| 075 | A000000 | 0 |
| 075 | B000000 | 1519682 |
| 076 | 000000 | 21.19 |
| 077 | A000000 | Y |
| 077 | B000000 | N |
| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | Y |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 55 |
| 086 | A020000 | 1188 |
| 086 | B010000 | 1322 |
| 086 | B020000 | 28663 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |

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086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
PAGE 19
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 20, 2015

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION