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TRI-CONTINENTAL CORP

Form NSAR-B

February 25, 2016

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000 B000000 12/31/2015
000 C000000 0000099614
000 D000000 N
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
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010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.

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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 A000002 AB SEB BANKAS
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015 C010002 VILNIUS
015 D010002 LITHUANIA
015 E040002 X
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015 C010003 SAN JOSE
015 D010003 COSTA RICA
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015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
015 C010005 MEXICO, D.F.
015 D010005 MEXICO
015 E040005 X
015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
015 E040007 X
015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
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015 E040008 X
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 B000013 S
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015 D010013 LUXEMBOURG
015 E040013 X

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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
015 B000016 S
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015 A000017 CITIBANK A.S.
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015 A000018 CITIBANK DEL PERU S.A.
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015 A000026 DEUTSCHE BANK AG

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015 C010028 LONDON
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015 A000029 FIRSTRAND BANK LIMITED
015 B000029 S
015 C010029 JOHANNESBURG
015 D010029 SOUTH AFRICA
015 E040029 X
015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
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015 A000031 HSBC BANK (VIETNAM) LTD.

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015 A000033 HSBC BANK BERMUDA LIMITED
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015 C010038 AMMAN
015 D010038 PALESTINE TERRITORY
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015 B000041 S
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015 A000042 HSBC BANK PLC
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015 A000044 ISLANDSBANKI HF.
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015 C010044 REYKJAVIK
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015 A000046 J.P. MORGAN BANK INTERNATIONAL
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015 A000047 J.P. MORGAN S.A. DTVM
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015 C010047 SAO PAULO
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015 D010048 AUSTRALIA
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015 A000049 JPMORGAN CHASE BANK, N.A.
015 B000049 S
015 C010049 QUARRY BAY
015 D010049 HONG KONG
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015 A000050 JPMORGAN CHASE BANK, N.A.
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015 C010050 MUMBAI

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015 D010050 INDIA
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015 B000051 S
015 C010051 WELLINGTON
PAGE 7
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015 E040057 X
015 A000058 NORDEA BANK NORGE ASA
015 B000058 S
015 C010058 OSLO
015 D010058 NORWAY
015 E040058 X
015 A000059 PJSC CITIBANK
015 B000059 S
015 C010059 KIEV
015 D010059 UKRAINE
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015 A000061 REPUBLIC BANK LIMITED
015 B000061 S
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015 D010061 TRINIDAD AND TOBAGO
PAGE 8
015 E040061 X
015 A000062 ROYAL BANK OF CANADA
015 B000062 S
015 C010062 TORONTO

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015 D010062 CANADA
015 E040062 X
015 A000063 SANTANDER SECURITIIES SERVICES, S.A.
015 B000063 S
015 C010063 MADRID
015 D010063 SPAIN
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015 A000064 JSC CITIBANK KAZAKHSTAN
015 B000064 S
015 C010064 ALMATY
015 D010064 KAZAKHSTAN
015 E040064 X
015 A000065 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000065 S
015 C010065 CASABLANCA
015 D010065 MOROCCO
015 E040065 X
015 A000066 STANBIC BANK TANZANIA LIMITED
015 B000066 S
015 C010066 DAR ES SALAAM
015 D010066 TANZANIA
015 E040066 X
015 A000067 STANBIC BANK ZIMBABWE LIMITED
015 B000067 S
015 C010067 HARARE
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015 E040067 X
015 A000068 STANBIC IBTC BANK PLC
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015 C010068 LAGOS
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015 A000069 STANDARD BANK LIMITED, MALAWI
015 B000069 S
015 C010069 BLANTYRE
015 D010069 MALAWI
015 E040069 X
015 A000070 STANDARD BANK NAMIBIA LIMITED
015 B000070 S
015 C010070 WINDHOEK
015 D010070 NAMIBIA
015 E040070 X
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015 B000071 S
015 C010071 AMMAN
015 D010071 JORDAN
015 E040071 X
PAGE 9
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015 B000072 S
015 C010072 DHAKA
015 D010072 BANGLADESH
015 E040072 X
015 A000073 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000073 S
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015 D010073 PAKISTAN
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015 A000074 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
015 B000074 S
015 C010074 BANGKOK
015 D010074 THAILAND

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015 E040074 X
015 A000075 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000075 S
015 C010075 GABORONE
015 D010075 BOTSWANA
015 E040075 X
015 A000076 STANDARD CHARTERED BANK COTE D'IVOIRE SA
015 B000076 S
015 C010076 ABIDJAN
015 D010076 IVORY COAST
015 E040076 X
015 A000077 STANDARD CHARTERED BANK GHANA LIMITED
015 B000077 S
015 C010077 ACCRA
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015 A000078 STANDARD CHARTERED BANK KENYA LIMITED
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015 A000081 STANDARD CHARTERED BANK ZAMBIA PLC
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015 A000082 SWEDBANK AS
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015 C010082 TALLINN
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015 A000083 SWEDBANK AS
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015 C010083 RIGA
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015 A000084 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000084 S
015 C010084 TOKYO
015 D010084 JAPAN
015 E040084 X
015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 EBENE
015 D010085 MAURITIUS
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 TAGUIG CITY
015 D010086 PHILIPPINES
015 E040086 X

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015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 COLOMBO
015 D010087 SRI LANKA
015 E040087 X
015 A000088 UBS Switzerland AG
015 B000088 S
015 C010088 ZURICH
015 D010088 SWITZERLAND
015 E040088 X
015 A000089 UNICREDIT BANK AUSTRIA AG
015 B000089 S
015 C010089 VIENNA
015 D010089 AUSTRIA
015 E040089 X
015 A000090 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
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015 D010090 CZECH REPUBLIC
015 E040090 X
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015 D010091 SLOVAK REPUBLIC
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015 A000092 UNICREDIT BANK SRBIJA A.D.
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015 D010092 SERBIA
015 E040092 X
015 A000093 UNICREDIT BANKA SLOVENIJA D.D.
015 B000093 S
015 C010093 LJUBLJANA
015 D010093 SLOVENIA
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015 A000094 JPMORGAN CHASE BANK, N.A.
015 B000094 S
015 C010094 HONG KONG
015 D010094 CHINA
015 E040094 X
015 A000095 HSBC BANK PLC
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015 C010095 ATHENS
015 D010095 CYPRUS
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020 A000001 GOLDMAN, SACHS & CO.
020 B000001 13-5108880
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020 A000002 UBS SECURITIES LLC
020 B000002 13-3873456
020 C000002 54
020 A000003 RBC CAPITAL MARKETS CORPORATION

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020 B000003 13-5033225
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020 A000004 MORGAN STANLEY
020 B000004 13-2655998
020 C000004 41
020 A000005 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000005 13-5659485
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020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016
020 C000006 28
020 A000007 BARCLAYS CAPITAL
020 B000007 13-3297824
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020 A000008 DEUTSCHE BANK SECURITIES INC.
020 B000008 13-2730828
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020 A000009 COWEN & CO., LLC
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020 A000010 CITIGROUP GLOBAL MARKETS INC.
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022 A000008 WELLS FARGO INVESTMENTS, LLC
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022 A000009 JEFFERIES & COMPANY, INC.
022 B000009 95-2622900
022 C000009 25129
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074	C000000		0

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074 D000000 286582
 074 E000000 122618
 074 F000000 953564
 074 G000000 0
 074 H000000 0
 074 I000000 50199
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 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 1760
 074 S000000 37637

PAGE 18

074 T000000 1382712
 074 U010000 58857
 074 U020000 0
 074 V010000 23.49
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 13968
 074 Y000000 432
 075 A000000 0
 075 B000000 1475339
 076 000000 20.02

077 A000000 Y
 077 B000000 Y
 077 C000000 N
 077 D000000 N
 077 E000000 Y
 077 F000000 N
 077 G000000 N
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 077 J000000 N
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 077 O000000 N
 077 P000000 N
 077 Q010000 N
 077 Q020000 N
 077 Q030000 N

078 000000 N
 080 A000000 ICI Mutual Insurance Company
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 080 C000000 5000
 081 A000000 Y
 081 B000000 1
 082 A000000 N
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 083 A000000 N
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 084 B000000 0

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085 A000000 Y
085 B000000 N
086 A010000 104
086 A020000 2185
086 B010000 2956
086 B020000 62158
086 C010000 0
086 C020000 0
086 D010000 0
PAGE 19
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 18, 2016

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Martha A. Skinner

Martha A. Skinner
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION