

Unum Group
Form 8-K
May 26, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 26, 2016

UNUM GROUP

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation)

001-11294
(Commission
File Number)
1 Fountain Square

62-1598430
(IRS Employer
Identification No.)

Chattanooga, Tennessee 37402

(Address of principal executive offices) (Zip Code)

(423) 294-1011

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Effective May 26, 2016, A.S. (Pat) MacMillan, Jr. and William J. Ryan retired from the Board of Directors of Unum Group in accordance with the company's bylaws, which impose a mandatory retirement age of 72.

Item 5.03 Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year.

Effective May 26, 2016, the Board of Directors of Unum Group adopted amendments to the company's Amended and Restated Bylaws (the "Bylaws"), which included the following changes in addition to other technical, non-substantive amendments:

Article II, Section 4 was amended to clarify that, in the event of the adjournment of a shareholder meeting, the time and place of the adjourned meeting must be announced at the meeting and that notice of an adjourned meeting must be given to the shareholders entitled to vote at the meeting as of any new record date fixed for the meeting.

Article II, Section 5 was amended to state that different or minimum vote requirements will apply when required by applicable law or regulation.

Article III, Section 1 was amended to (i) specify that whether a director election is contested shall be determined as of the tenth day prior to the date on which notice of the meeting for such election is first mailed, and (ii) contemplate the use of previously delivered contingent director resignation letters when a director fails to receive a majority of votes cast in an uncontested election.

Article III, Section 1 was amended to make clear that director nominations submitted pursuant to the advance notice provisions of the Bylaws must comply with those requirements in the context of a special meeting of shareholders.

Article V, Section 1 was amended to provide that shares may be uncertificated if authorized by resolution of the Board, and Article V, Sections 1 and 4 were amended to remove language permitting certificates to be requested.

Article VI, Section 1 was amended to permit electronic submission of waivers of notices.

Article VII, Section 5 was amended to state that the Court of Chancery of the State of Delaware shall also be the exclusive venue for any action as to which the Delaware General Corporate Law confers jurisdiction on such court.

The foregoing description of the amendments to the Bylaws is qualified in its entirety by reference to the full text of the Bylaws, as amended, a copy of which is attached hereto as Exhibit 3.1 and incorporated herein by reference.

Item 5.07 Submission of Matters to a Vote of Security Holders.

Unum Group held its 2016 Annual Meeting of Shareholders on May 26, 2016. Matters submitted to shareholders at the meeting and voting results were as follows:

Item 1 Election of Directors. Shareholders elected the thirteen director nominees listed below for one-year terms expiring in 2017, based upon the following voting results:

| Nominee | For | Against | Abstained | Broker Non-Votes | Uncast |
|--------------------------|-------------|----------------|------------------|-------------------------|---------------|
| Theodore H. Bunting, Jr. | 202,683,148 | 1,733,351 | 109,752 | 8,803,172 | 784 |
| E. Michael Caulfield | 202,983,999 | 1,465,414 | 76,838 | 8,803,172 | 784 |
| Joseph J. Echevarria | 202,710,858 | 1,749,184 | 66,209 | 8,803,172 | 784 |
| Cynthia L. Egan | 202,833,734 | 1,623,641 | 68,876 | 8,803,172 | 784 |
| Pamela H. Godwin | 201,238,872 | 3,212,962 | 74,417 | 8,803,172 | 784 |
| Kevin T. Kabat | 202,867,952 | 1,579,289 | 79,010 | 8,803,172 | 784 |
| Timothy F. Keaney | 202,838,109 | 1,474,301 | 213,841 | 8,803,172 | 784 |
| Gloria C. Larson | 201,084,976 | 3,372,621 | 68,654 | 8,803,172 | 784 |
| Richard P. McKenney | 201,680,982 | 2,642,331 | 202,938 | 8,803,172 | 784 |
| Edward J. Muhl | 201,106,723 | 3,337,695 | 81,833 | 8,803,172 | 784 |
| Ronald P. O Hanley | 202,844,057 | 1,579,657 | 102,537 | 8,803,172 | 784 |
| Francis J. Shammo | 202,768,259 | 1,651,180 | 106,812 | 8,803,172 | 784 |
| Thomas R. Watjen | 200,520,054 | 3,903,597 | 102,600 | 8,803,172 | 784 |

Item 2 Advisory Vote to Approve Executive Compensation. Shareholders approved, on an advisory basis, the compensation of Unum Group's named executive officers, based upon the following voting results:

| For | Against | Abstained | Broker Non-Votes | Uncast |
|-------------|----------------|------------------|-------------------------|---------------|
| 198,710,453 | 5,564,366 | 251,432 | 8,803,172 | 784 |

Item 3 Ratification of Appointment of Independent Registered Public Accounting Firm. Shareholders ratified the appointment of Ernst & Young LLP as Unum Group's independent registered public accounting firm for 2016, based upon the following voting results:

| For | Against | Abstained | Broker Non-Votes | Uncast |
|-------------|----------------|------------------|-------------------------|---------------|
| 210,974,400 | 2,279,975 | 75,048 | | 784 |

Item 4 Approval of the Unum European Holding Company Limited Savings-Related Share Option Scheme 2016. Shareholders approved the Unum European Holding Company Limited Savings-Related Share Option Scheme 2016, based upon the following voting results:

| For | Against | Abstained | Broker Non-Votes | Uncast |
|-------------|----------------|------------------|-------------------------|---------------|
| 202,918,739 | 1,429,972 | 177,540 | 8,803,172 | 784 |

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits. The following exhibits are filed with this report:

3.1 Amended and Restated Bylaws of Unum Group, effective May 26, 2016.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Unum Group
(Registrant)

Date: May 26, 2016

By: /s/ J. Paul Jullienne
Name: J. Paul Jullienne
Title: Vice President, Managing Counsel
and Corporate Secretary

INDEX TO EXHIBITS

| Exhibit No. | Description |
|--------------------|--|
| 3.1 | Amended and Restated Bylaws of Unum Group, effective May 26, 2016. |