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FLOTEK INDUSTRIES INC/CN/ Form 8-K April 04, 2017

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 4, 2017

Flotek Industries, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-13270** (Commission

90-0023731 (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

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# 10603 W. Sam Houston Pkwy N., Suite 300 Houston, Texas (Address of principal executive offices) Registrant s telephone number, including area code: (713) 849-9911

### Not applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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### Item 7.01 Regulation FD Disclosure.

On April 4, 2017, Flotek Industries, Inc. (the Company) issued a press release to announce that the U.S. District Court for the Southern District of Texas has granted the Company s motion to dismiss the securities class action that had previously been filed against the Company. A copy of the press release is furnished herewith as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

The information furnished pursuant to Item 7.01 of this Current Report on Form 8-K and in Exhibit 99.1 shall not be deemed to be filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, is not subject to the liabilities of that section and is not deemed incorporated by reference in any filing of the Company s under the Securities Exchange Act of 1933, as amended, except as otherwise expressly stated in such filing.

#### Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

**Exhibit** 

**Number** Description

99.1 Press release dated April 4, 2017.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 4, 2017

## FLOTEK INDUSTRIES, INC.

/s/ H. Richard Walton
H. Richard Walton
Executive Vice President and Chief Financial Officer

## **EXHIBIT INDEX**

Exhibit

**Number** Description

99.1 Press release dated April 4, 2017.