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FLOTEK INDUSTRIES INC/CN/ Form 8-K October 11, 2017

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 4, 2017

Flotek Industries, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

**001-13270** (Commission

**90-0023731** (IRS Employer

of incorporation)

File Number)

**Identification No.)** 

10603 W. Sam Houston Pkwy N., Suite 300

77064

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# Houston, Texas (Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (713) 849-9911

### Not applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

## Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Effective as of October 4, 2017, Robert C. Bodnar ceased to be an officer of the Company. Also effective as of October 4, 2017, Joshua A. Snively, Sr., Executive Vice President, Research and Innovation of Flotek Industries, Inc. (the Company), was appointed as head of operations of the Company and in such capacity, will oversee operations of the Energy Chemistry Technologies segment and the Consumer and Industrial Chemistry Technologies segment of the Company. The information required by Items 401(b), (d), (e) and Item 404(a) of Regulation S-K, as applicable, as well as descriptions of applicable material plans, contracts and arrangements to which Mr. Snively is a party are disclosed in the Company s Definitive Proxy Statement on Schedule 14A filed on March 24, 2017, which information and descriptions are incorporated herein by reference.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 11, 2017

### FLOTEK INDUSTRIES, INC.

/s/ H. Richard Walton H. Richard Walton Executive Vice President and Chief Financial Officer