

BROOKS DAVID H  
Form 4  
November 04, 2004

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BROOKS DAVID H**

2. Issuer Name and Ticker or Trading Symbol  
**S Y BANCORP INC [SYI]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/03/2004**

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**Chairman & CEO**

**4010 FOX MEADOW WAY**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**PROSPECT, KY 40059**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	11/03/2004		S		500	D	\$ 22.68
Common Stock	11/03/2004		S		100	D	\$ 22.61
Common Stock	11/03/2004		S		1,000	D	\$ 22.55
Common Stock	11/03/2004		S		200	D	\$ 22.6
Common Stock	11/04/2004		M		5,200 <u>(1)</u>	A	\$ 10.315

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Common Stock	3,554.45	I	by 401k-fbo David Brooks
Common Stock	45,656	I	By Spouse
Common Stock	1,078.485	I	Trust-Directors Deferred Comp Plan
Common Stock	15,162.2457	I	By ESOP-fbo David Brooks

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option (Right to Buy)	\$ 0.4308					07/01/1984 01/01/2014	Common Stock	4,000
Option (Right to Buy)	\$ 10.315	11/04/2004		M	5,200	06/21/2001 12/21/2010	Common Stock	5,200
Option (Right to Buy)	\$ 16.8					06/27/2002 12/27/2011	Common Stock	24,000
Option (Right to Buy)	\$ 19.55					06/17/2003 12/17/2012	Common Stock	18,600
Option (Right to Buy)	\$ 21.18					06/16/2004 12/16/2013	Common Stock	16,700

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BROOKS DAVID H 4010 FOX MEADOW WAY PROSPECT, KY 40059	X		Chairman & CEO	

## Signatures

//David H.  
Brooks 11/04/2004

\_\_Signature of Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exercise of stock options

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.