BROOKS DAVID H

Form 4

November 05, 2004

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287 January 31, Expires:

OMB APPROVAL

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BROOKS DAVID H			2. Issuer Name and Ticker or Trading Symbol S Y BANCORP INC [SYI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction	(Shoon an approacte)		
			(Month/Day/Year)	X Director 10% Owner		
4010 FOX MEADOW WAY			11/04/2004	X Officer (give title Other (specify below)		
				Chairman & CEO		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
PROSPECT	, KY 40059			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Beneficially Form: Owned Direct (Ownership	Ownership) (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	11/04/2004		S	1,000	D	\$ 22.61	51,210	D	
Common Stock	11/04/2004		S	1,700	D	\$ 22.75	49,510	D	
Common Stock	11/04/2004		S	500	D	\$ 22.82	49,010	D	
Common Stock	11/04/2004		S	1,000	D	\$ 22.51	48,010	D	
Common Stock	11/04/2004		S	500	D	\$ 22.7	47,510	D	

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Common Stock	11/04/2004	S	400	D	\$ 22.81	47,110	D	
Common Stock	11/04/2004	S	100	D	\$ 22.74	47,010	D	
Common Stock	11/04/2004	S	600	D	\$ 22.8	46,410	D	
Common Stock						3,554.45	I	by 401k-fbo David Brooks
Common Stock						45,656	I	By Spouse
Common Stock						1,078.485	I	Trust-Directors Deferred Comp Plan
Common Stock						15,162.2457	I	By ESOP-fbo David Brooks
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy)	\$ 0.4308					07/01/1984	01/01/2014	Common Stock	4,000	
Option (Right to Buy)	\$ 10.315					06/21/2001	12/21/2010	Common Stock	5,200	
Option (Right to	\$ 16.8					06/27/2002	12/27/2011	Common Stock	24,000	

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Buy)

Option Common 06/17/2003 12/17/2012 (Right to \$ 19.55 18,600 Stock

Buy)

Option

Common 06/16/2004 12/16/2013 16,700 (Right to \$ 21.18 Stock

Relationships

Buy)

Reporting Owners

Reporting Owner Name / Address		·							
	Director	10% Owner	Officer	Other					
BROOKS DAVID H									

4010 FOX MEADOW WAY X Chairman & CEO

PROSPECT, KY 40059

Signatures

//David H. 11/05/2004 **Brooks**

**Signature of Date Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).