JOHNSON CONTROLS INC

Form 4/A October 17, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: 2005
Estimated average burden hours per

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

JOHNSON CONTROLS INC [JCI]

Symbol

response... 0.5

January 31,

1(b).

(Print or Type Responses)

ZUTZ DENISE M

1. Name and Address of Reporting Person *

								(Check	all applicable)		
(Last)	(First)	(Middle)	3. Date of	of Earliest 7	Transaction							
			(Month/	Day/Year)			_	Director		Owner		
			03/02/2	2006				_X_ Officer (give title Other (specify				
AVENUE, P.O. BOX 591			7 021 2000				pelow) below)					
11 (21 (22 ,	1.0. 2011 071							V1C	e President			
	(Street) 4. If Am				amendment, Date Original 6.				6. Individual or Joint/Group Filing(Check			
	Filed(Mo								applicable Line)			
03/03/2				03/2006				X_Form filed by One Reporting Person				
MILWAUKEE, WI 53201-0591				P				Form filed by More than One Reporting Person				
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of	2. Transaction Date	e 2A. Deem	ned 3. 4. Securities Acquired (A				equired (A)	5. Amount of	6.	7. Nature of		
Security (Month/Day/Year) Execution			* `				_	Securities	Ownership	Indirect		
(Instr. 3)		any		Code	(Instr. 3, 4	and:	5)	Beneficially	Form:	Beneficial		
		(Month/D	ay/Year)	(Instr. 8)				Owned	Direct (D)	Ownership		
								Following	or Indirect	(Instr. 4)		
						(A)		Reported	(I)			
						or		Transaction(s)	(Instr. 4)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common						()	\$					
Stock	03/02/2006			M	16,000	A	28.4219	82,730	D			
Stock							20.4219					
Common	02/02/2006			3.4	10.000		φ 40 11 <i>5</i>	00.720	ъ			
Stock	03/02/2006			M	10,000	A	\$ 40.115	92,730	D			
200011												
Common	03/02/2006			M	18,000	A	\$	110,730	D			
Stock	03/02/2000			1 V1	18,000	A	40.2975	110,730	D			
~												
Common	03/02/2006			M	9,000	A	\$ 52.55	119,730	D			
Stock	02/02/2000			1,1	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		φυ2.υυ	117,750	2			
Common							•					
	03/02/2006			S(1)	53,000	D	\$ 71.0414	66,730	D			
Stock							71.0414					

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C		By 401(k)
Common	8,749.297 (2) I	Plan
Stock	,	Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	N S
Phantom Stock Units/Excess Benefit Plan	(3)						<u>(4)</u>	<u>(4)</u>	Common Stock	1
Phantom Stock Units / Restricted Stock Plan	<u>(3)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	
Stock Option	\$ 28.4219	03/02/2006		M		16,000	11/15/2002	11/15/2010	Common Stock	
Stock Option	\$ 40.115	03/02/2006		M		10,000	11/14/2003	11/14/2011	Common Stock	
Stock Option	\$ 40.2975	03/02/2006		M		18,000	11/20/2004	11/20/2012	Common Stock	
Stock Option	\$ 52.55	03/02/2006		M		9,000	11/19/2005(7)	11/19/2013	Common Stock	
Stock Option	\$ 61.69						11/17/2006(7)	11/17/2014	Common Stock	
Stock Option	\$ 67.685						11/16/2007(7)	11/16/2015	Common Stock	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ZUTZ DENISE M 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591

Vice President

Signatures

Arlene D. Gumm Attorney-In-Fact for Denise M. Zutz

10/17/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction code was inadvertently listed as an exercise/conversion. This amended report is being filed to show the transaction as a (1) sale. Total holdings were correct in the original report and the transaction was correctly reported as a disposition. The transaction code was the only erroneous information on the original report and the balance of the Form 4 was correct as filed.
- The number of underlying securities is based on the stock fund balance on March 2, 2006. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a March 2, 2006, stock fund price of \$70.67 per share. A company matching contribution of 104.489 shares was deposited into the account.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (4) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (5) Includes a company match of 138.449 Johnson Controls phantom stock units in the Excess Benefit Plan. The company match mirrors the company match in the 401(k) Plan.
- (6) The phantom stock units were accrued under the Johnson Controls Restricted Stock Plan and are to be settled 100% in cash upon the vesting of the reporting person's restricted stock to which they relate.
- (7) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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