

THOMPSON JAMES H
Form 4
August 23, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
THOMPSON JAMES H

(Last) (First) (Middle)

5775 MOREHOUSE DR.

(Street)

SAN DIEGO, CA 92121-1714

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
QUALCOMM INC/DE [QCOM]

3. Date of Earliest Transaction
(Month/Day/Year)
08/10/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
EVP, Engineering & CTO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	08/02/2018		G	V 1,314 D \$ 0	97,967	D	
Common Stock	08/09/2018		G	V 90 D \$ 0	97,877	D	
Common Stock	08/10/2018		J ⁽¹⁾	45,453 D <u>1</u>	52,424 ⁽²⁾	D	
Common Stock	08/10/2018		J ⁽³⁾	45,453 D <u>3</u>	6,971	D	
Common Stock	08/02/2018		G	V 1,314 A \$ 0	4,449	I	Childrens' Trust ⁽⁴⁾

Edgar Filing: THOMPSON JAMES H - Form 4

Common Stock	08/09/2018		G	V	90	A	\$ 0	4,539	I	Childrens' Trust ⁽⁴⁾
Common Stock	08/10/2018		J ⁽¹⁾		45,453	A	<u>(1)</u>	45,453	I	by Spouse
Common Stock	08/10/2018		J ⁽⁵⁾		45,453	D	<u>(5)</u>	0	I	by Spouse
Common Stock	08/10/2018		J ⁽⁵⁾		45,453	A	<u>(5)</u>	45,453	I	by Spouse's Trust ⁽⁶⁾
Common Stock	08/10/2018		J ⁽³⁾		45,453	A	<u>(3)</u>	45,453	I	by Trust ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
THOMPSON JAMES H 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714	Director 10% Owner Officer EVP, Engineering & CTO

Signatures

By: David Zuckerman, Attorney For: James H. Thompson
08/23/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dr. Thompson and his wife transmuted their community property interest in 90,906 shares to 45,453 shares as the separate property of Dr. Thompson and 45,453 shares as the separate property of his wife.
- (2) Includes 187 shares acquired under the Company's Employee Stock Purchase Plan on July 31, 2018.
- (3) In connection with an estate tax planning transaction, Dr. Thompson transferred 45,453 shares to a grantor trust which issued an unsecured promissory note to Dr. Thompson. Dr. Thompson, his spouse and children are the beneficiaries of the grantor trust.
- (4) Shares are held in individual trusts for the benefit of Dr. Thompson's children. Dr. Thompson disclaims all beneficial ownership for the shares held in trusts for the benefit of his children.
- (5) In connection with an estate planning transaction, Dr. Thompson's spouse transferred 45,453 shares to a separate grantor trust which issued an unsecured promissory note to the spouse. Dr. Thompson, his spouse and their children are beneficiaries of the grantor trust.
- (6) Securities held by Premier Trust, as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.