

TETRA TECH INC  
Form 4  
May 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BATRACK DAN L**

(Last) (First) (Middle)  
**3475 EAST FOOTHILL BOULEVARD**  
(Street)

**PASADENA,, CA 91107**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**TETRA TECH INC [TTEK]**

3. Date of Earliest Transaction (Month/Day/Year)  
**05/01/2017**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

**CHAIRMAN AND CEO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
COMMON STOCK	05/01/2017		M	1,117 A \$ 23.48	214,128	D	
COMMON STOCK	05/01/2017		S	1,117 D \$ 45 <sup>(2)</sup>	213,011	D	
COMMON STOCK	05/02/2017		M	98,883 A \$ 23.48	311,894	D	
COMMON STOCK	05/02/2017		S	98,883 D \$ 45.0052 <sup>(3)</sup>	213,011	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
STOCK OPTION (RIGHT TO BUY)	\$ 23.48	05/01/2017		M	1,117	11/12/2014 <sup>(1)</sup> 11/12/2018	COMMON STOCK	1,117
STOCK OPTION (RIGHT TO BUY)	\$ 23.48	05/02/2017		M	98,883	11/12/2014 <sup>(1)</sup> 11/12/2018	COMMON STOCK	98,883

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATRACK DAN L 3475 EAST FOOTHILL BOULEVARD PASADENA,, CA 91107	X		CHAIRMAN AND CEO	

## Signatures

JANIS SALIN for DAN L.  
BATRACK  
Date: 05/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option became fully exercisable on November 12, 2014.

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- (2) All shares were sold at an exact price pursuant to a 10b5-1 automatic trading plan.
- (3) \$45.0052 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.00 to \$45.05 pursuant to a 10b5-1 automatic trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.