Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

HARTFORD FINANCIAL SERVICES GROUP INC/DE

Form 4

August 01, 2007

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * JOHNSON DAVID M /CT			2. Issuer Name and Ticker or Trading Symbol HARTFORD FINANCIAL SERVICES GROUP INC/DE [HIG]				Iss	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	ΓFORD FINANC GROUP -, ONE		3. Date of Earliest Transaction (Month/Day/Year) 07/30/2007					Director 10% Owner Officer (give title Other (specify below) Exec. Vice President and CFO			
HARTFOR	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				Ap _X	. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Sec	curitie	s Acquir	ed, Disposed of, o	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactic Code (Instr. 8)	4. Securities our Disposed (Instr. 3, 4 and Amount	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Restricted Stock Units	07/30/2007			A	1,798.108	A	\$ 92.69	22,369.28	D		
Restricted Stock								14,000	D		
Common Stock								40,828	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: HARTFORD FINANCIAL SERVICES GROUP INC/DE - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivat Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I De Sec (In
				Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 63.02						<u>(1)</u>	05/03/2011	Common Stock	84,629	
Stock Option	\$ 65.85						(2)	02/23/2012	Common Stock	76,143	
Stock Option	\$ 37.37						(3)	02/22/2013	Common Stock	63,506	
Stock Option	\$ 65.99						<u>(4)</u>	02/20/2014	Common Stock	34,137	
Stock Option	\$ 71.27						(5)	02/19/2015	Common Stock	21,669	
Stock Option	\$ 83						(6)	02/15/2016	Common Stock	19,731	
Stock Option	\$ 93.69						<u>(7)</u>	02/27/2017	Common Stock	18,210	
Stock Option	\$ 92.69	07/30/2007		A	4,994		(8)	07/30/2017	Common Stock	4,994	\$

Reporting Owners

HARTFORD, CT 06155

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

JOHNSON DAVID M /CT
THE HARTFORD FINANCIAL SERVICES GROUP

-ONE HARTFORD PLAZA Exec. Vice President and CFO

Reporting Owners 2

Signatures

/s/ Amanda Grabowski Aquino, POA for David M. Johnson by Power of Attorney of David M. Johnson dated July 26, 2007

08/01/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became fully exercisable on November 11, 2005, following the achievement of the following criteria: the closing price of the Company's common stock on New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (2) The option became fully exercisable on November 17, 2005, following the achievement of the following criteria: the closing price of the Company's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (3) The option became fully exercisable on June 18, 2003, following the achievement of the following criteria: the closing price of the Company's Common Stock on the New York Stock Exchange reached 125% of the grant price for at least 10 consecutive trading days.
- (4) The option became fully exercisable as of February 18, 2007, the third anniversary of the grant date.
- The options will become exercisable upon the later of: (i) the date upon which the closing price of the underlying common stock on the (5) New York Stock Exchange equals or exceeds 125% of the option exercise price for a period of at least 10 consecutive trading days and (ii) three years from the date of the grant. The closing price condition to vesting was met on May 16, 2006.
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 15, 2009, three years from the date of the grant. The closing price condition to vesting was met on May 18, 2007.
- The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) February 27, 2010, three years from the date of the grant.
- (8) The option becomes fully exercisable upon the later of: (i) the date on which the closing stock price on the New York Stock Exchange equals or exceeds 125% of the exercise price for 10 consecutive trading days or (ii) July 30, 2010, three years from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3