

Kalra Sanjay  
Form 4  
May 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Kalra Sanjay

(Last) (First) (Middle)  
4300 NORTH FIRST STREET  
(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HARMONIC INC [HLIT]

3. Date of Earliest Transaction (Month/Day/Year)  
05/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or (D) Price		
Common Stock	05/15/2018		M		1,375 (1) A \$ 0	42,547	D
Common Stock	05/15/2018		F		475 (2) D \$ 3.75	42,072	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)



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Kalamazoo, MI 49002

Item 2.

(a)

Name of Persons Filing:

(b)

Addresses of Principal Business Office or, if none, Residence:

Jon L. Stryker

c/o Stryker Corporation

2825 Airview Boulevard

Kalamazoo, MI 49002

(c)

Citizenship: U.S.

(d)

Title of Class of Securities: Common Stock, \$.10 Par Value

(e)

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CUSIP Number: 863667 10 1 Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is: N/A

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### Item 4. Ownership

#### (a) Amount Beneficially Owned:

This Amendment No. 2 to Schedule 13G is being filed by Jon L. Stryker (the Reporting Person ) with respect to the shares of Common Stock, \$.10 par value (the Common Stock ), of Stryker Corporation owned by the L. Lee Stryker Trust (the Stryker Trust ) established on September 10, 1974 for the benefit of members of the Stryker family, including the Reporting Person, the shares of Common Stock held by the Jon L. Stryker Revocable Trust (the 2009 Trust ) and the shares of Common Stock held by the Arcus Foundation. The Reporting Person has shared voting and dispositive power over the shares held by (i) the Stryker Trust and (ii) the Arcus Foundation. The Reporting Person has sole voting and dispositive power over shares held in the 2009 Trust. The amount beneficially owned by the Reporting Person as of December 31, 2010 was 18,565,856 Shares of Common Stock.

#### (b) Percent of Class

4.7%

#### (c) Number of shares as to which the person has:

##### (i) Sole power to vote or direct the vote:

3,211,283

##### (ii) Shared power to vote or direct the vote:

15,354,573

##### (iii) Sole power to dispose or direct the disposition of:

3,211,283

##### (iv) Shared power to dispose or direct the disposition of:

15,354,573

### Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

### Item 6. Ownership of More than Five Percent on Behalf of Another Person:

N/A

### Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:

N/A

### Item 8. Identification and Classification of Members of the Group:

N/A

### Item 9. Notice of Dissolution of Group:

N/A

### Item 10. Certifications:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: January 27, 2011

/s/ JON L. STRYKER  
**Jon L. Stryker**