

SULLIVAN FRANK C

Form 4

January 11, 2012

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SULLIVAN FRANK C

2. Issuer Name **and** Ticker or Trading  
Symbol  
RPM INTERNATIONAL INC/DE/  
[RPM]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
2628 PEARL ROAD, P.O. BOX 777  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/10/2012

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman and CEO

MEDINA, OH 44258

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|
| Common<br>Stock,<br>\$0.01 par<br>value | 01/10/2012                              |                                                             | M                                    | 100,000                                                                 | A \$ 14.1                                                                                                          | 957,491 <sup>(1)</sup>                                                  | D                                                              |
| Common<br>Stock,<br>\$0.01 par<br>value | 01/10/2012                              |                                                             | S                                    | 92,908                                                                  | D \$<br><u>(2)</u> 23.6295                                                                                         | 864,583                                                                 | D                                                              |
| Common<br>Stock,<br>\$0.01 par<br>value |                                         |                                                             |                                      |                                                                         |                                                                                                                    | 9,109                                                                   | I                                                              |
|                                         |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | By Thomas C.<br>and Sandra S.<br>Sullivan<br>Irrevocable       |

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Grandchildren's  
Trust dated May  
8, 2006

|                                         |                      |   |                         |
|-----------------------------------------|----------------------|---|-------------------------|
| Common<br>Stock,<br>\$0.01 par<br>value | 3,000                | I | As custodian for<br>son |
| Common<br>Stock,<br>\$0.01 par<br>value | 3,000                | I | As custodian for<br>son |
| Common<br>Stock,<br>\$0.01 par<br>value | 3,000                | I | As custodian for<br>son |
| Common<br>Stock,<br>\$0.01 par<br>value | 900                  | I | As custodian for<br>son |
| Common<br>Stock,<br>\$0.01 par<br>value | 3,934 <sup>(3)</sup> | I | By 401(k) Plan          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Security<br>(Instr. 3 and 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                 | Date<br>Exercisable Expiration<br>Date                         | Title Amount<br>Number<br>Share                                |
| Stock Option<br>(Right to<br>Buy)                   | \$ 14.1                                                               | 01/10/2012                              |                                                             | M                                       | 100,000                                                                                                   | <sup>(4)</sup> 10/10/2013                                      | Common<br>Stock 100                                            |
| Stock<br>Appreciation                               | <sup>(6)</sup>                                                        |                                         |                                                             |                                         |                                                                                                           | <sup>(7)</sup> <sup>(7)</sup>                                  | Common<br>Stock 1,35                                           |

Rights

## Reporting Owners

| Reporting Owner Name / Address                                          | Relationships |           |                  |       |
|-------------------------------------------------------------------------|---------------|-----------|------------------|-------|
|                                                                         | Director      | 10% Owner | Officer          | Other |
| SULLIVAN FRANK C<br>2628 PEARL ROAD<br>P.O. BOX 777<br>MEDINA, OH 44258 | X             |           | Chairman and CEO |       |

## Signatures

/s/ Frank C. Sullivan, by Gregory J. Dziak, his attorney-in-fact pursuant to Power of Attorney dated May 14, 2007 on file with the Commission

01/11/2012

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes an aggregate of 53,661 shares of Common Stock issued pursuant to the 1997 RPM International Inc. Restricted Stock Plan, as amended, 125,347 shares of Common Stock issued pursuant to the 2007 RPM International Inc. Restricted Stock Plan, 160,000 shares of

- (1) Common Stock, issued as Performance Earned Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan, and 190,000 shares of Common Stock issued as Performance Contingent Restricted Stock, pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan.

Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$23.58 - 23.675.

- (2) The reporting person hereby undertakes, upon request by the Commission staff, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

- (3) Approximate number of shares held as of December 8, 2011 in the account of reporting person by Wachovia Bank, N.A., as Trustee of the RPM International Inc. 401(k) Trust and Plan, as amended.

- (4) The option vested in four equal installments on October 10, 2004, 2005, 2006, and 2007.

Granted pursuant to the RPM International Inc. 1996 Key Employees Stock Option Plan, as amended in an exempt transaction under Rule

- (5) 16b-3. These options vest and become exercisable in 25% increments over four years commencing one year after the date of grant. These options were granted in 2004 and expire 10 years from the date of grant.

- (6) No transaction is being reported on this line. Reported on a previously filed Form 3, Form 4, or Form 5.

Stock Appreciation Rights granted pursuant to the RPM International Inc. 2004 Omnibus Equity and Incentive Plan in an exempt

- (7) transaction under Rule 16b-3. These Stock Appreciation Rights vest in four equal installments commencing one year after the date of grant. These Stock Appreciation Rights were granted between 2005 and 2011 and expire 10 years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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