Edgar Filing: Baron Jessica T - Form 4

Baron Jessic Form 4											
August 27, 2											
FORM	14 _{UNITI}	ED STATES		OMB APPROVAL							
		SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549					Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont	ger STAT 16. or Filed	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							Expires: January 31, 2005 Estimated average burden hours per response 0.5		
See Instruction 1(b).		30(h)	of the In	vestment	Compar	y Ac	t of 194	0			
(Print or Type I	Responses)										
H			Symbol HERCU	2. Issuer Name and Ticker or Trading Symbol HERCULES TECHNOLOGY GROWTH CAPITAL INC [HTGC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
GROWTH	(First) ULES TECHI CAPITAL, 4(N AVE., SUI	00	3. Date of (Month/D 08/24/20	-	ansaction			Director X Officer (give below) Chief		o Owner er (specify re	
				mendment, Date Original Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
PALO ALT	°O,, CA 9430	1						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	any		3. Transactio Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock par value \$0.001	08/24/2012			Code V	Amount	(D) D	Price \$ 11.34	(Instr. 3 and 4) 93,893	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Relationships					
Director	10% Owner	Officer	Other		
		Chief Financial Office			
08	/27/2012				
	Date				
		Director 10% Owner 08/27/2012	Director 10% Owner Officer Chief Financial Office 08/27/2012		

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on August 24, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.