Ricketts Todd M Form 4 January 18, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

OMB

5. Relationship of Reporting Person(s) to

Issuer

Number:

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

(Print or Type Responses)

Ricketts Todd M

1. Name and Address of Reporting Person \*

|   |   |                                      |   | TD AMERITRADE HOLDING CORP [AMTD]                           |   |               |            | (Check all applicable)   |  |   |  |  |
|---|---|--------------------------------------|---|---|---|---------------|------------|--|--|---|--|--|
| (Last) (First) (Middle) 4211 SOUTH 102ND ST |   |                                      | (Month)   | 3. Date of Earliest Transaction (Month/Day/Year) 01/16/2013 |   |               |            | _X_ Director10% Owner<br>Officer (give titleOther (specify below)  |  |   |  |  |
|   | OMAHA, NI   | (Street)<br>E 68127                  |   | 4. If Amendment, Date Original Filed(Month/Day/Year)        |   |               |            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |  |  |
|   | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                      |   |   |   |               |            |  |  |   |  |  |
|   | 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, i<br>any<br>(Month/Day/Year | Code  | 4. SecurionAcquired Disposed (Instr. 3, | (A) or (A) or | <b>)</b> ) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                             | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|   | Common<br>Stock   | 01/16/2013                           |   | A   | 7,462                                   | A             | \$ 0       | 144,893  | D  |   |  |  |
|   | Common<br>Stock   |                                      |   |   |   |               |            | 38,100   | I  | By children (1)                                       |  |  |
|   | Common<br>Stock   |                                      |   |   |   |               |            | 7,889  | I  | By spouse   |  |  |
|   | Common<br>Stock   |                                      |   |   |   |               |            | 228,369  | I  | By spouse and children (2)                            |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

children (2)

#### Edgar Filing: Ricketts Todd M - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of                          | 2. Conversion                                     | 3. Transaction Date (Month/Day/Year) |                      | 4.              | 5.  | 6. Date Exercises Expiration D |                    | 7. Titl                    |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|--------------------------------------|---|--------------------------------------|----------------------|-----------------|---|--------------------------------|--------------------|----------------------------|--|------------------------|---|
| Derivative<br>Security<br>(Instr. 3) | or Exercise<br>Price of<br>Derivative<br>Security |                                      | any (Month/Day/Year) | Code (Instr. 8) | ofNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year)               |                    | Under<br>Securi<br>(Instr. | , ,                                    | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                                      |   |                                      |                      | Code V          | 7 (A) (D)   | Date<br>Exercisable            | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ricketts Todd M 4211 SOUTH 102ND ST X OMAHA, NE 68127

### **Signatures**

/s/ Brenna O'Connor as attorney-in-fact for Todd M. Ricketts

01/18/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held by trusts created for Mr. Ricketts' children.
- (2) Shares are held by trust created for Mr. Ricketts' spouse and children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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