

GLU MOBILE INC
Form 3
October 29, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Cannon Gregory J.

(Last) (First) (Middle)

500 HOWARD STREET, SUITE 300

(Street)

SAN FRANCISCO,Â CAAÂ 94105

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/24/2013

3. Issuer Name and Ticker or Trading Symbol
GLU MOBILE INC [GLUU]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
VP, Finance & Corp Controller

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

88,737

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	04/08/2010 ⁽¹⁾	04/08/2015	Common Stock	40,000	\$ 0.79	D	Â
Stock Option (right to buy)	05/21/2010 ⁽²⁾	05/21/2015	Common Stock	9,666	\$ 0.78	D	Â
Stock Option (right to buy)	04/13/2011 ⁽³⁾	04/13/2016	Common Stock	65,000	\$ 1.19	D	Â
Stock Option (right to buy)	09/28/2011 ⁽⁴⁾	09/28/2016	Common Stock	100,000	\$ 1.34	D	Â
Stock Option (right to buy)	04/12/2012 ⁽⁵⁾	04/12/2017	Common Stock	40,000	\$ 3.78	D	Â
Stock Option (right to buy)	04/10/2013 ⁽⁶⁾	04/10/2018	Common Stock	25,000	\$ 4.3	D	Â
Stock Option (right to buy)	05/14/2014 ⁽⁷⁾	05/14/2019	Common Stock	20,000	\$ 2.74	D	Â
Stock Option (right to buy)	10/08/2014 ⁽⁸⁾	10/08/2019	Common Stock	45,660	\$ 2.91	D	Â
Restricted Stock Units	05/15/2014 ⁽⁹⁾	Â ⁽⁹⁾	Common Stock	20,000	\$ ⁽¹⁰⁾	D	Â
Restricted Stock Units	11/15/2014 ⁽¹¹⁾	Â ⁽¹¹⁾	Common Stock	59,340	\$ ⁽¹⁰⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cannon Gregory J. 500 HOWARD STREET, SUITE 300 SAN FRANCISCO, CA 94105	Â	Â	Â VP, Finance & Corp Controller	Â

Signatures

/s/ Gregory J.
Cannon

10/29/2013

^{**}Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested and became exercisable with respect to 25% of the shares on April 8, 2010 and the remaining shares vested and became exercisable in equal monthly installments over the following three years, such that the option fully vested on April 8, 2013.
- (2)

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The option was granted on May 21, 2009 and vested and became exercisable in equal monthly installments over three years such that the option fully vested on May 21, 2012.

- (3) The option vested and became exercisable with respect to 25% of the shares on April 13, 2011, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on April 13, 2014.

- (4) The option vested and became exercisable with respect to 25% of the shares on September 28, 2011, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on September 28, 2014.

- (5) The option vested and became exercisable with respect to 25% of the shares on April 12, 2012, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on April 12, 2015.

- (6) The option vested and became exercisable with respect to 25% of the shares on April 10, 2013, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on April 10, 2016.

- (7) The option vests and becomes exercisable with respect to 25% of the shares on May 14, 2014, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on May 14, 2017.

- (8) The option vests and becomes exercisable with respect to 25% of the shares on October 8, 2014, with the remaining shares vesting and becoming exercisable in equal monthly installments over the following three years, such that the option will be fully vested on October 8, 2017.

- (9) The restricted stock unit will vest as to 25% of the total number of shares of subject to the RSU on May 15, 2014 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years following the RSU First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date will be August 15, 2014, the next quarterly vesting date will be November 15, 2014, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

- (10) Each restricted stock unit represents a contingent right to receive one share of Glu Mobile Inc. common stock.

- (11) The restricted stock unit will vest as to 25% of the total number of shares of subject to the RSU on November 15, 2014 (the "RSU First Vesting Date"), with the remaining 75% of the underlying shares vesting in equal quarterly installments over the next three years following the RSU First Vesting Date on the same day of each third month (e.g., the first quarterly vesting date will be February 15, 2015, the next quarterly vesting date will be May 15, 2015, etc.); provided, however, that if any portion of the RSU vests on a date that is a non-trading day on The NASDAQ Stock Market, then the RSU will vest on the next trading day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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