#### Edgar Filing: ALASKA COMMUNICATIONS SYSTEMS GROUP INC - Form 4

#### ALASKA COMMUNICATIONS SYSTEMS GROUP INC

Form 4

January 02, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading STEINBERG LEONARD Issuer Symbol ALASKA COMMUNICATIONS (Check all applicable) SYSTEMS GROUP INC [ALSK] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_ Officer (give title (Month/Day/Year) below) C/O ALASKA 01/01/2014 SVP, Legal/Reg/Gov & Corp Sec. **COMMUNICATIONS** SYSTEMS, 600 TELEPHONE AVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

ANCHORAGE, AK 99503

| (City)                               | (State)                              | (Zip) Table   | e I - Non-D                            | erivative S   | Securi           | ties Acq   | uired, Disposed o  | f, or Beneficial  | ly Owned |
|--------------------------------------|--------------------------------------|---|--|---|------------------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |                                      |   | Code V                                 | Amount  | (A)<br>or<br>(D) | Price  | Transaction(s) (Instr. 3 and 4)                                      |   |          |
| common<br>stock, \$.01<br>par value  | 01/01/2014                           |   | M                                      | 14,184  | A                | \$ 0<br>(1)  | 216,206  | D   |          |
| common<br>stock, \$.01<br>par value  | 01/01/2014                           |   | M                                      | 21,275  | A                | \$ 0<br>(1)  | 237,481  | D   |          |
| common stock, \$.01 par value        | 01/01/2014                           |   | F                                      | 8,865   | D                | \$<br>2.12   | 228,616  | D   |          |

**OMB APPROVAL** 

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amo<br>Underlying Secur<br>(Instr. 3 and 4) |                        |
|---|---|--------------------------------------|---|--|--|--|-----------------|--|------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date Exercisable   | Expiration Date | Title  | Am<br>or<br>Nu<br>of S |
| Restricted stock units                              | \$ 0 (1)  | 01/01/2014                           |   | M                                      | 14,184   | 01/01/2014(2)  | 01/01/2014(2)   | Common stock   | 14                     |
| Restricted stock units                              | \$ 0 (1)  | 01/01/2014                           |   | M                                      | 21,275   | 01/01/2014(3)  | 01/01/2014(3)   | Common   | 21                     |

### **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

STEINBERG LEONARD C/O ALASKA COMMUNICATIONS SYSTEMS 600 TELEPHONE AVE ANCHORAGE, AK 99503

SVP, Legal/Reg/Gov & Corp Sec.

### **Signatures**

/s/Leonard A. 01/02/2014 Steinberg

\*\*Signature of Reporting Date

Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit award under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan

Amount represents restricted stock units granted under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan as

part of a larger award, 7,511 shares of which previously vested upon achievement of Company performance goals or were surrendered to cover required payroll withholding taxes.

**(3)** 

Reporting Owners 2

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Amount represents restricted stock units granted under the Alaska Communications Systems Group, Inc. 1999 Stock Incentive Plan as part of a larger award, 420 shares of which were previously surrendered to cover required payroll withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.