### Edgar Filing: OCEANFIRST FINANCIAL CORP - Form 4

OCEANFIRST FINANCIAL CORP Form 4 March 20, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading IANTOSCA JOSEPH R Issuer Symbol OCEANFIRST FINANCIAL CORP (Check all applicable) [OCFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_\_\_X\_\_ Other (specify Officer (give title (Month/Day/Year) below) below) 975 HOOPER AVENUE 11/12/2013 EVP, CAO, OceanFirst Bank (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TOMS RIVER, NJ 08754 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common D (1) 03/19/2014 Α 1,910 А \$0 7,735 Stock Common By 401(k) 11/12/2013 S<sup>(2)</sup> 2,439 D \$17.9 9,401 I (2) (3) (4) Stock Common By 401(k) Р 1,312 A 11/13/2013  $\frac{\Psi}{1778}$ I 10,713 (3) (4) Stock Common BY ESOP 8,278 I (3) Stock Common 1.462 I By Stock Matching ESOP(3)

(5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 17.75	03/19/2014		А	24,375	03/19/2015	03/19/2024	Common Stock	24,375
Stock Option (Right to Buy)	\$ 22.525					05/28/2005	05/28/2014	Common Stock	10,000
Stock Option (Right to Buy)	\$ 23.07					01/19/2006	01/19/2015	Common Stock	554
Stock Option (Right to Buy)	\$ 20.795					04/20/2006	04/20/2015	Common Stock	614
Stock Option (Right to Buy)	\$ 23.475					02/15/2007	02/15/2016	Common Stock	10,000
Stock Option (Right to Buy)	\$ 20.25					03/02/2008	03/02/2017	Common Stock	6,750
	\$ 16.81					02/20/2009	02/20/2018		7,088

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Stock Option (Right to Buy)				Common Stock	
Stock Option (Right to Buy)	\$ 12.28	02/18/2010	02/18/2019	Common Stock	7,088
Stock Option (Right to Buy)	\$ 10.11	02/11/2011	02/11/2020	Common Stock	9,925
Stock Option (Right to Buy)	\$ 13.87	02/18/2012	02/18/2021	Common Stock	10,125
Stock Option (Right to Buy)	\$ 13.83	02/15/2013	02/15/2022	Common Stock	10,125
Stock Option (Right to Buy)	\$ 14.62	02/15/2014	02/15/2023	Common Stock	11,250
Stock Option (Right to Buy)	\$ 14.55	06/17/2014	06/17/2023	Common Stock	11,250

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
F8	Director	10% Owner	Officer	Other		
IANTOSCA JOSEPH R 975 HOOPER AVENUE TOMS RIVER, NJ 08754				EVP, CAO, OceanFirst Bank		
Signatures						
/s/ Steven J. Tsimbinos, Power Attorney	of	03/	20/2014			
**Signature of Reporting Person			Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares awarded. Such shares vest in five equal annual installments beginning on March 1, 2015. Total includes other restricted shares that vest in the future.
- (2) Mr. Iantosca effected a transaction in his 401(k) Plan that caused an involuntary sale of shares.
- (3) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (4) Mr. Iantosca has agreed to disgorge any short swing profit generated from these transactions.
- (5) Represents shares acquired under the OceanFirst Bank Matching Contribution Employee Stock Ownership Plan established as part of a spin-off from the OceanFirst Employee Stock Ownership Plan effective December 27, 2006.
- (6) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.