

WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP

Form 3

May 28, 2014

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

WHITEFORD JOHN D

(Last) (First) (Middle)

1001 AIR BRAKE AVENUE

(Street)

WILMERDING, PA 15148

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
05/20/2014

3. Issuer Name and Ticker or Trading Symbol

WESTINGHOUSE AIR BRAKE TECHNOLOGIES
CORP [WAB]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President/Group Executive5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock - Direct

11,386

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

| | | | | Shares | | (I) (Instr. 5) | |
|-----------------------------|------------|------------|--------------|--------|------------|-------------------|---|
| Non-Qualified Stock Option | 03/01/2017 | 02/12/2023 | Common Stock | 1,400 | \$ 48.29 | D | Â |
| Non-Qualified Stock Option | 02/15/2015 | 02/15/2021 | Common Stock | 2,626 | \$ 28.695 | D | Â |
| Non-Qualified Stock Options | 02/14/2016 | 02/14/2022 | Common Stock | 1,960 | \$ 35.2925 | D | Â |
| Non-Qualified Stock Options | 03/01/2018 | 02/11/2024 | Common Stock | 1,400 | \$ 72.82 | D | Â |
| Non-Qualified Stock Options | 03/01/2014 | 02/17/2020 | Common Stock | 6,000 | \$ 19.1025 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WHITEFORD JOHN D 1001 AIR BRAKE AVENUE WILMERDING, PA 15148 | Â | Â | Â Vice President/Group Executive | Â |

Signatures

David L. DeNinno, POA for John D.
Whiteford

05/28/2014

Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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