AMERICAN VANGUARD CORP

Form 4 May 28, 2014

FORM 4

OMB APPROVAL ND EXCHANGE COMMISSION OMB

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16

Section 16

SECURITIES

SECURITIES

Expires: January 31, 2005
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Number:

3235-0287

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person **
Rizzi John

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Symbol

AMERICAN VANGUARD CORP [AVD]

(Check all applicable)

See Remarks

(Last) (First) (Middle) 3. Da

3. Date of Earliest Transaction (Month/Day/Year) 05/23/2014

____ Director ____ 10% Owner __X_ Officer (give title ____ Other (specify below)

4695 MACARTHUR COURT,, SUITE 1200

(City)

4. If Amendment, Date Original

Filed (Month/Day/Vea

(Zip)

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I. Non Darivative Securities Acquired Disposed of ar Ranaficially Ox

NEWPORT BEACH, CA 92660

(Street)

(State)

(- ')	()	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securities on Acquired (A) or			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)	(· · · · · · · · · · · · · · · · · · ·	any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8) Code V	(Instr. 3, 4 and 5) (A) or Amount (D) Price		Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock	05/23/2014		A	4,105 (1)	A	\$0	32,214	D		
Common Stock	05/23/2014		A	4,105 (2)	A	\$0	36,319	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nt Deriv Secu Bene Own Follo Repo Trans (Insti

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9
Derivative Conversion		(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)]
Derivative					Securities				3 and 4)		(
	Security				Acquired			Ì]	
	J				(A) or]
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
					.,						
									Amount		
						Date	Expiration		or		
							Date	Title N	Number		
							Date		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Rizzi John

4695 MACARTHUR COURT, **SUITE 1200**

NEWPORT BEACH, CA 92660

See Remarks

Signatures

Reporting Person

/s/ JOHN RIZZI 05/28/2014 **Signature of Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These comprise an award of time-based restricted stock that vests in its entirety on May 23, 2017, if and only if reporting person is continuously employed by issuer through such vesting date as per the terms of a Restricted Stock Agreement dated as of May 23, 2014.
- These comprise an award of performance-based restricted stock that vests upon the attainment of both (i) continuous employment through May 23, 2017, and (ii) the relative growth of the issuer's EBIT, net sales and stock price appreciation as compared to that of certain **(2)** industry comparator groups over the course of fiscal years 2014 - 2016 as per the terms of a Performance Stock Agreement dated as of May 23, 2014.

Remarks:

Vice President Operations (AMVAC)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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