Edgar Filing: SIGMATRON INTERNATIONAL INC - Form 4

SIGMATRON INTERNATIONAL INC

Form 4

August 13, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

2005

0.5

Check this box if no longer

subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

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Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **Chang Hom-Ming**

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Check all applicable)

SIGMATRON INTERNATIONAL

INC [SGMA]

10% Owner

V.P. China Operations

07/19/2012

(Last) (First) (Middle) 3. Date of Earliest Transaction Director X_ Officer (give title Other (specify (Month/Day/Year)

below)

C/O SIGMATRON

INTERNATIONAL, INC., 2201

LANDMEIR ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Instr. 8)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

ELK GROVE VILLAGE, IL 60007

(Street)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(A)

Reported Transaction(s)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (1)	\$ 3.6	07/19/2012		A	3,300		07/19/2012	07/18/2022	Common Stock	3,300
Stock Option (right to buy) (3)	\$ 3.6	07/19/2012		A	3,300		07/19/2013	07/18/2022	Common Stock	3,300
Stock Option (right to buy) (5)	\$ 3.6	07/19/2012		A	3,400		07/19/2014	07/18/2022	Common Stock	3,400
Stock Option (right to buy) (7)	\$ 9.17	10/30/2013		J		6,600	09/16/2005	09/15/2015	Common Stock	6,600
Stock Option (right to buy) (9)	\$ 9.17	10/30/2013		J		6,600	09/16/2006	09/15/2015	Common Stock	6,600
Stock Option (right to buy) (11)	\$ 9.17	10/30/2013		J		6,800	09/16/2007	09/15/2015	Common Stock	6,800

Reporting Owners

Reporting Owner Name / Address	Relationships						
-topoloning of their states of the states of	Director	10% Owner	Officer	Other			
Chang Hom-Ming							
C/O SIGMATRON INTERNATIONAL, INC.		V.P. China Operations					
2201 LANDMEIR ROAD			v.r. Cimia Operations				
ELK GROVE VILLAGE, IL 60007							

Reporting Owners 2

Signatures

/s/ Hom-Ming

Chang 08/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 10,000 options acquired as described on this Table II were issued on July 19, 2012, pursuant to an under a single stock option plan and stock option agreement. These options are disclosed as three entries solely as a result of the varied exercise date.
- (2) This column needs to be blank.
- (3) The 10,000 options acquired as described on this Table II were issued on July 19, 2012, pursuant to an under a single stock option plan and stock option agreement. These options are disclosed as three entries solely as a result of the varied exercise date.
- (4) This column needs to be blank.
- (5) The 10,000 options acquired as described on this Table II were issued on July 19, 2012, pursuant to an under a single stock option plan and stock option agreement. These options are disclosed as three entries solely as a result of the varied exercise date.
- (6) This column needs to be blank.
- The 20,000 options disposed of as described on this Title II were issued on September 16, 2005, pursuant to and under a single stock option plan and stock option agreement. The disposition of these options are disclosed as three entries solely as a result of the varied exercise dates. All such options were sold in a tender offer transaction exempted pursuant to Rule 16b-3.
- (8) This column needs to be blank.
- The 20,000 options disposed of as described on this Title II were issued on September 16, 2005, pursuant to and under a single stock option plan and stock option agreement. The disposition of these options are disclosed as three entries solely as a result of the varied exercise dates. All such options were sold in a tender offer transaction exempted pursuant to Rule 16b-3.
- (10) This column needs to be blank.
- The 20,000 options disposed of as described on this Title II were issued on September 16, 2005, pursuant to and under a single stock option plan and stock option agreement. The disposition of these options are disclosed as three entries solely as a result of the varied exercise dates. All such options were sold in a tender offer transaction exempted pursuant to Rule 16b-3.
- (12) This column needs to be blank.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3