NanoString Technologies Inc Form 4

August 18, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

·,

\_X\_ Form filed by More than One Reporting

Person

*See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * OVP VENTURE PARTNERS VI LP			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			NanoString Technologies Inc [NSTG]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/14/2014	DirectorX 10% Owner Officer (give title below) Other (specify below)			
PARTNERS,	1616 EASTI	LAKE	00/11/2011				
AVE. E., SUI	ΓE 208						
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) Form filed by One Reporting Person			

#### SEATTLE, WA 98102

(City)	(State)	${\bf (Zip)} \qquad \qquad {\bf Table~I-Non-Derivative~Securities~Acquired, Disposed~of, or~Beneficially~Owned}$								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/14/2014		S		D D	\$ 12.484 (1)	1,755,388 (2)	D (3)		
Common Stock	08/14/2014		S	6,884	D	\$ 12.4845 (1)	1,748,504 (4)	I (3)	See footnotes	
Common Stock	08/14/2014		S	174	D	\$ 12.4845 (1)	1,748,330 (5)	I (3)	See footnotes	
Common	08/14/2014		S	18	D	\$	1,748,312 (6)	I (3)	See	

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Stock					12.4845 (1)			footnotes
Common Stock	08/15/2014	S	5,784	D	\$ 12.0798	1,742,528 (8)	D (3)	
Common Stock	08/15/2014	S	3,130	D	\$ 12.0798 (7)	1,739,398 (9)	I (3)	See footnotes
Common Stock	08/15/2014	S	79	D	\$ 12.0798 (7)	1,739,319 (10)	I (3)	See footnotes
Common Stock	08/15/2014	S	8	D	\$ 12.0798	1,739,311 (11)	I (3)	See footnotes

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title an	ıd	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorNumber	Expiration D	ate	Amount of	f	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyin	g	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securities		(Instr. 5)
	Derivative				Securities	3		(Instr. 3 ar	nd 4)	
	Security				Acquired					
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
								Am	ount	
						Date	Expiration	or		
						Exercisable	*	Title Number	mber	
						Exercisable Bute	of			
				Code V	(A) (D)			Sha	ares	

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
, <u>,</u>	Director	10% Owner	Officer	Othe			
OVP VENTURE PARTNERS VI LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102		X					

Reporting Owners 2 X

X

OVP VENTURE PARTNERS VII LP C/O OVP VENTURE PARTNERS

1616 EASTLAKE AVE, E., SUITE 208

SEATTLE, WA 98102

OVP VI ENTREPRENEURS FUND LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208

SEATTLE, WA 98102

OVP VII ENTREPRENEURS FUND LP C/O OVP VENTURE PARTNERS 1616 EASTLAKE AVE. E., SUITE 208 SEATTLE, WA 98102

X

# **Signatures**

/s/ Barbara A. Mery, 08/18/2014 Attorney-in-fact

\*\*Signature of Reporting Person Date

Barbara A. Mery, 08/18/2014 Attorney-in-fact

\*\*Signature of Reporting Person Date

Barbara A. Mery,

08/18/2014 Attorney-in-fact

\*\*Signature of Reporting Person Date

Barbara A. Mery, 08/18/2014 Attorney-in-fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$12.39 to \$12.80, inclusive. The reporting person undertakes **(1)** to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- 1,189,853 of these shares are owned by OVP Venture Partners VI, L.P., 16,533 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 547,324 of these shares are owned by OVP Venture Partners VII, L.P., and 1,678 of these shares are owned by OVP VII **(2)** Entrepreneurs Fund, L.P.
  - OVMC VI, LLC serves as the general partner of OVP Venture Partners VI, L.P. and OVP VI Entrepreneurs Fund, L.P. Charles P. Waite, Jr. is a managing member of OVMC VI, LLC and shares voting and investment power over the shares held by OVP Venture Partners VI, L.P. and OVP VI Entrepreneurs Fund, L.P. Charles P. Waite, Jr. is also a managing member of OVMC VII, LLC, the
- general partner of OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P., and shares voting and investment power over the shares held by OVP Venture Partners VII, L.P. and OVP VII Entrepreneurs Fund, L.P. Mr. Waite, the other managing members of OVMC VI, LLC and OVMC VII, LLC, and each of the aforementioned entities disclaim beneficial ownership of the reported securities except to the extent of any pecuniary interest therein.
- 1,189,853 of these shares are owned by OVP Venture Partners VI, L.P., 16,533 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 540,440 of these shares are owned by OVP Venture Partners VII, L.P., and 1,678 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

**(5)** 

Signatures 3

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- 1,189,853 of these shares are owned by OVP Venture Partners VI, L.P., 16,359 of these shares are owned by OVP VI Entrepreneurs Fund, L.P., 540,440 of these shares are owned by OVP VII Entrepreneurs Fund, L.P. and 1,678 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.
- 1,189,853 of these shares are owned by OVP Venture Partners VI, L.P., 16,359 of these shares are owned by OVP VI Entrepreneurs

  (6) Fund, L.P., 540,440 of these shares are owned by OVP Venture Partners VII, L.P., and 1,660 of these shares are owned by OVP VII

  Entrepreneurs Fund, L.P.
- The "Amount" and "Price" reported in this Column 4 reflect the aggregate number and weighted-average price, respectively, of shares sold. These shares were sold in multiple transactions at prices ranging from \$12.00 to \$12.51, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- 1,184,069 of these shares are owned by OVP Venture Partners VI, L.P., 16,359 of these shares are owned by OVP VI Entrepreneurs (8) Fund, L.P., 540,440 of these shares are owned by OVP VII Entrepreneurs Fund, L.P. Entrepreneurs Fund, L.P.
- 1,184,069 of these shares are owned by OVP Venture Partners VI, L.P., 16,359 of these shares are owned by OVP VI Entrepreneurs (9) Fund, L.P., 537,310 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.
- 1,184,069 of these shares are owned by OVP Venture Partners VI, L.P., 16,280 of these shares are owned by OVP VI Entrepreneurs (10) Fund, L.P., 537,310 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.
- 1,184,069 of these shares are owned by OVP Venture Partners VI, L.P., 16,280 of these shares are owned by OVP VI Entrepreneurs (11) Fund, L.P., 537,310 of these shares are owned by OVP VII Entrepreneurs Fund, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.