Edgar Filing: HERCULES TECHNOLOGY GROWTH CAPITAL INC - Form 4

HERCULES Form 4 March 06, 20	TECHNOLO	GY GROW	ТН САР	ITAL ING	C						
	1 /								OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMI Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287			
if no long subject to Section 1 Form 4 o Form 5 obligation may cont	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 16. Form 5 Section 16. Form 4 or Form 5 Section 16. Form 4 or Section 16. Form 5 Section 16. Section 16. Section 16. Form 5 Section 16. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: Estimated a burden hou response		
(Print or Type F	Responses)										
Baron Jessica T Syn HE				Name and ILES TEC	CHNOL	ЭGY	-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
GROWTH	^(First) ULES TECHN CAPITAL,, 40 N AVE., SUIT	0	3. Date of (Month/D 03/04/20	-	ansaction			Director X Officer (give below)		Owner er (specify	
	(Street) 4. If Amendment, Date 6 Filed(Month/Day/Year)				r) Applicable Line) _X_Form filed by			oint/Group Filing(Check One Reporting Person			
PALO ALT	O,, CA 94301							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	med	3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or Di (Instr. 3,	ties Ad	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of	
Common Stock	03/04/2015			F	1,271 (1)	D	\$ 14.46	92,999	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nt 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative Deriv Underlying Security or Exercise any Code of (Month/Day/Year) Security Secu (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Securities (Instr. 5) Derivative Bene Derivative (Instr. 3 and 4) Securities Own Security Acquired Follo (A) or Repo Disposed Trans of (D) (Insti (Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Code V (A) (D) Shares

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Baron Jessica T C/O HERCULES TECHNOLOGY GROWTH CAP 400 HAMILTON AVE., SUITE 310 PALO ALTO,, CA 94301	PITAL,		CFO				
Signatures							
/s/ Ben Bang, Attorney-in-Fact for Jessica Baron	03/06/2015						
**Signature of Reporting Person	Date						
Evaluation of Responses:							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on March 4, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners