PPL Corp Form 3 January 05, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common Stock

Common Stock

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement PPL Corp [PPL] A Raphael Joanne H (Month/Day/Year) 01/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) TWO NORTH NINTH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person ALLENTOWN, Â PAÂ 18101 (give title below) (specify below) Form filed by More than One SVP, GC & Corp Sec Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)

17,474

1,355.632 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

I

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Held in trust pursuant to the

Employee Stock Ownership Plan.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Unit (ICPKE)	(2)	(2)	Common Stock	8,732	\$ (3)	D	Â
Stock Unit (ICPKE)	(4)	(4)	Common Stock	8,625	\$ (3)	D	Â
Stock Unit (ICPKE)	(5)	(5)	Common Stock	7,281	\$ (3)	D	Â
Performance Unit (ICPKE)	(6)	(6)	Common Stock	6,199.254 (1)	\$ <u>(6)</u>	D	Â
Performance Unit (ICPKE)	(7)	(7)	Common Stock	9,106.307 (1)	\$ <u>(7)</u>	D	Â
Performance Unit (ICPKE)	(8)	(8)	Common Stock	7,595.418 (1)	\$ <u>(8)</u>	D	Â
Performance Unit (ICPKE)	(8)	(8)	Common Stock	3,667.107 (1)	\$ <u>(8)</u>	D	Â
Employee Stock Options (Right to Buy)	(9)	01/23/2018	Common Stock	14,507	\$ 42.84	D	Â
Employee Stock Options (Right to Buy)	(10)	01/21/2019	Common Stock	22,410	\$ 28.77	D	Â
Employee Stock Options (Right to Buy)	(11)	01/20/2020	Common Stock	21,288	\$ 28.09	D	Â
Employee Stock Options (Right to Buy)	(12)	01/26/2021	Common Stock	35,651	\$ 23.2	D	Â
Employee Stock Options (Right to Buy)	(13)	01/25/2022	Common Stock	36,750	\$ 25.41	D	Â
Employee Stock Options (Right to Buy)	(14)	09/30/2022	Common Stock	466	\$ 25.95	D	Â
Employee Stock Options (Right to Buy)	(15)	01/23/2023	Common Stock	64,532	\$ 26.59	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Raphael Joanne H TWO NORTH NINTH STREET ALLENTOWN, PA 18101	Â	Â	SVP, GC & Corp Sec	Â		

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Signatures

/s/Frederick C. Paine, as Attorney-In-Fact for Joanne H. Raphael

01/05/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes the reinvestment of dividends.
- (2) The units will vest on January 24, 2016.
- (3) No conversion or exercise price applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- (4) The units will vest on January 23, 2017.
- (5) The units will vest on January 22, 2018.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

 Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2015. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2016.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

 Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2016. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2017.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

 Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending December 31, 2017. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2018.
- (9) The total grant of 14,507 options vested in three installments on January 24, 2009, January 24, 2010 and January 24, 2011.
- (10) The total grant of 22,410 options vested in three installments on January 22, 2010, January 22, 2011 and January 22, 2012.
- (11) The total grant of 21,288 options vested in three installments on January 21, 2011, January 21, 2012 and January 21, 2013.
- (12) The total grant of 35,651 options vested in three installments on January 27, 2012, January 27, 2013 and January 27, 2014.
- (13) The total grant of 36,750 options vested in three installments on January 26, 2013, January 26, 2014 and January 26, 2015.
- (14) The total grant of 466 options vested in three installments on October 1, 2013, October 1, 2014 and October 1, 2015.
- (15) The total grant of 64,532 options vest in three installments on January 24, 2014, January 24, 2015 and January 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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