Edgar Filing: STEMCELLS INC - Form 4

STEMCELLS INC

Form 4											
January 05, 2 FORM		STATES S					GE C	OMMISSION	OMB AF OMB	PROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 or		Washington, D.C. 20549 OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Number:3235-026Expires:January 31 2009Estimated average burden hours per response0.5			
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940											
(Print or Type R	Responses)										
Trounson Alan O Symbol			r Name and Ticker or Trading CELLS INC [STEM]				5. Relationship of Reporting Person(s) to Issuer				
			-				(Check all applicable) <u>X</u> Director <u>10%</u> Owner <u>Officer (give title</u> <u>Other (specify</u> <u>below)</u>				
				ndment, Date Original tth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)		(Zip)	T - 1, 1,	I N. D				Person	D		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	l Date, if	3.	4. Securitie (A) or Disp (Instr. 3, 4 a Amount	s Acqu osed o	uired	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	01/01/2016			А	146,342	A	\$ 0 (1)	354,595 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: STEMCELLS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,				7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, an 7 (A)	ĺ.	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Trounson Alan O 7707 GATEWAY BLVD NEWARK, CA 94560	Х							
Signatures								
/s/ Kenneth Stratton, attorney-in-fact		01/05/2016	5					
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In accordance with Board action taken, this is an equity grant of 146,342 restricted stock units, vesting 100% on January 1, 2017, as compensation for Board service.
- (2) Includes the following anniversary equity grant: 146,342 restricted stock units vesting 100% on January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.