

SPECTRUM PHARMACEUTICALS INC

Form 5

January 29, 2016

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
SHROTRIYA RAJESH C MD

(Last) (First) (Middle)

11500 S. EASTERN AVE., SUITE  
240

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
SPECTRUM  
PHARMACEUTICALS INC [SPPI]3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/20154. If Amendment, Date Original  
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman & CEO

6. Individual or Joint/Group Reporting

(check applicable line)

HENDERSON, NV 89052

(City) (State) (Zip)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.001 Par Value	01/07/2015	Â	G <sup>(1)</sup>	5,943 D \$ 0	1,708,249 D	Â	
Common Stock, \$.001 Par Value	12/17/2015	Â	G <sup>(1)</sup>	7,167 D \$ 0	1,708,249 D	Â	

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Common Stock, \$.001 Par Value	12/18/2015	Â	G <sup>(2)</sup>	52,901	D	\$ 0	1,708,249	D	Â
Common Stock, \$.001 Par Value	12/18/2015	Â	G <sup>(2)</sup>	52,901	A	\$ 0	498,894	I	By CS Family Trust <sup>(2)</sup>
Common Stock, \$.001 Par Value	12/24/2015	Â	G <sup>(3)</sup>	51,324	D	\$ 0	1,708,249	D	Â
Common Stock, \$.001 Par Value	12/24/2015	Â	G <sup>(3)</sup>	51,324	A	\$ 0	87,018	I	By Shrotriya Gift Trust <sup>(3)</sup>
Common Stock, \$.001 Par Value	12/29/2015	Â	G <sup>(4)</sup>	4,420	D	\$ 0	73,669	I	By Shrotriya Family Foundation <sup>(4)</sup>
Common Stock, \$.001 Par Value	Â	Â	Â	Â	Â	Â	9,523	I	By Spouse
Common Stock, \$.001 Par Value	Â	Â	Â	Â	Â	Â	10,613	I	By Shrotriya Annuity Trust
Common Stock, \$.001 Par Value	Â	Â	Â	Â	Â	Â	26,128	I	By 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se Bo O Er Is Fi
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(Instr. 3,  
4, and 5)

(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHROTRIYA RAJESH C MD 11500 S. EASTERN AVE. SUITE 240 HENDERSON, NV 89052	Â X	Â X	Â Chairman & CEO	Â

## Signatures

Kurt A. Gustafson, attorney-in-fact for Rajesh C.  
Shrotriya

01/29/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction involved a gift of securities by the reporting person to his three grandchildren who do not share the reporting person's household. The reporting person disclaims beneficial ownership of the shares held by his three grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of his grandchildren's shares for purposes of Section 16 or any other purpose.

(2) Transfer for estate planning purposes by reporting person to the CS Family Trust. The reporting person has an indirect beneficial ownership as trustee of the CS Family Trust.

(3) Transfer for estate planning purposes by reporting person to the Shrotriya Gift Trust. The reporting person has an indirect beneficial ownership through spouse, as trustee of the Shrotriya Gift Trust.

(4) This transaction involved a gift of securities by the Shrotriya Family Foundation, a Nevada nonprofit corporation, to two nonprofit charitable organizations. The reporting person has an indirect beneficial ownership, as a director and officer of the Shrotriya Family Foundation, a Nevada nonprofit corporation.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.