

NUVASIVE INC
Form 4
February 03, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Miles Patrick

(Last) (First) (Middle)
7475 LUSK BLVD.
(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

3. Date of Earliest Transaction (Month/Day/Year)
02/01/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and COO

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 02/01/2016 | | M | | 9,165 A \$ 0 | 40,811 ⁽¹⁾ | D |
| Common Stock | 02/01/2016 | | M | | 5,304 A \$ 0 | 46,115 ⁽¹⁾ | D |
| Common Stock | 02/01/2016 | | M | | 14,927 A \$ 0 | 61,042 ⁽¹⁾ | D |
| Common Stock | 02/01/2016 | | M | | 4,257 A \$ 0 | 65,299 ⁽¹⁾ | D |
| Common Stock | 02/01/2016 | | F | | 17,333 ⁽²⁾ D \$ 46.6 | 47,966 ⁽¹⁾ | D |

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- (2) Shares withheld by the Issuer to satisfy tax withholding obligations.
- (3) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (4) This RSU award was granted to the Reporting Person on February 26, 2013. In accordance with the terms of the RSU award, the award vested as to 9,165 shares on each of February 1, 2014, February 1, 2015 and February 1, 2016.
- (5) This RSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the RSU award, the award vested as to 5,304 shares on each of February 1, 2015 and February 1, 2016 and will vest as to 5,303 shares on February 1, 2017.
- (6) Each Performance Restricted Stock Unit ("PRSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (7) This PRSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the PRSU award, the award vested as to 14,927 shares on February 1, 2016 and will vest as to 14,927 shares on February 1, 2017.

This PRSU award was granted to the Reporting Person on February 17, 2015. In accordance with the terms of the PRSU award, the award
- (8) vested as to 4,257 shares on February 1, 2016 and will vest as to 4,256 shares on each of February 1, 2017, February 1, 2018 and February 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.