**CITIGROUP INC** 

Form 4 April 05, 2016

# FORM 4

### **OMB APPROVAL** OMB

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading COSTELLO ELLEN Issuer Symbol CITIGROUP INC [C] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner Officer (give title \_ Other (specify CITIGROUP INC. CORPORATE 04/01/2016 LAW DEPT., 601 LEXINGTON **AVENUE 19TH FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

NEW YORK, NY 10022

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

| (City)                               | (State)                                 | (Zip) Tal   | ble I - Non                            | -Derivative S  | ecuriti | ies Acquire  | ed, Disposed of, o   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|---------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities on Disposed of (Instr. 3, 4 and Amount | (D)     | red (A) or   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 04/01/2016                              |   | A                                      | 593.8384<br>(1)                                      | A       | \$<br>42.099 | 593.8384 (2)   | I  | See<br>Footnote   |
| Common<br>Stock                      |   |   |  |  |         |              | 4,048.583  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: CITIGROUP INC - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of Derivative | 2. Conversion                         | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4.<br>Transacti    | 5.<br>orNumber   | 6. Date Exerc<br>Expiration D  |                    | 7. Title<br>Amount                           |  | 8. Price of Derivative | 9. Nu<br>Deriv  |
|--|------------------------|---------------------------------------|--------------------------------------|-------------------------------|--------------------|------------------|--|--------------------|--|--|------------------------|---|
|  |                        | or Exercise<br>Price of<br>Derivative | (Month/Day/Tear)                     | any (Month/Day/Year)          | Code<br>(Instr. 8) | of<br>Derivative | of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |                    | Underlying<br>Securities<br>(Instr. 3 and 4) | ying<br>ies                            | Security<br>(Instr. 5) | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|  |                        |                                       |                                      |                               | Code V             | (A) (D)          | Date<br>Exercisable  | Expiration<br>Date | Title N                                      | Amount<br>or<br>Number<br>of<br>Shares |                        |   |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

**COSTELLO ELLEN** CITIGROUP INC. CORPORATE LAW DEPT. 601 LEXINGTON AVENUE 19TH FLOOR NEW YORK, NY 10022

X

## **Signatures**

Ellen Costello by Joseph B. Wollard, Attorney-in-Fact

04/05/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred shares awarded under the Issuer's Compensation Plan for Non-Employee Directors.
- Represents deferred shares of common stock held by the Issuer for the benefit of the Reporting Person pursuant to the Issuer's **(2)** Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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