Edgar Filing: ARCH COAL INC - Form 4

| ARCH COAI | L INC | | | | | | | | | |
|--|---|------------------|---|---|--------|---------|--|---|-----------|--|
| Form 4 | | | | | | | | | | |
| October 07, 2 | 016 | | | | | | | | | |
| FORM | 4 | | | | | | | | PPROVAL | |
| | UNITED | | URITIES A Vashington, | | | GE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. Form 4 or | | | HANGES IN BENEFICIAL OWN SECURITIES | | | | NERSHIP OF | Expires:January 3 200Estimated average burden hours per response000 | | |
| Form 5 obligation may conti <i>See</i> Instru- 1(b). | s Section 17(a | a) of the Public | | ding Com | pany | Act of | ge Act of 1934, f 1935 or Sectio 40 | n | | |
| (Print or Type R | esponses) | | | | | | | | | |
| EAVES JOHN W S | | | 2. Issuer Name and Ticker or Trading Symbol ARCH COAL INC [ARCH] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (M | | | 3. Date of Earliest Transaction(Month/Day/Year)10/05/2016 | | | | X Director 10% Owner X Officer (give title Other (specify below) below) CEO | | | |
| | | | Amendment, Da Month/Day/Year | nendment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| ST. LOUIS, | MO 63141 | | | | | | Form filed by M Person | Iore than One Re | eporting | |
| (City) | (State) | (Zip) 1 | able I - Non-D | Derivative S | ecurit | ies Acc | quired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Code | on(A) or Dis (D) (Instr. 3, 4 | sposed | of | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 10/05/2016 | | J | 18,945 (1) | D | \$0 | 0 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount o Number o Shares |
| Employee Stock Options | \$ 0 <u>(2)</u> | 10/05/2016 | | D | 105,550 (2) | (2) | (2) | Common Stock | 105,550 |
| Performance Stock Awards | \$ 0 <u>(3)</u> | 10/05/2016 | | D | 58,270 (3) | (3) | (3) | Common Stock | 58,270 |
| Restricted Stock Units | \$ 0 <u>(4)</u> | 10/05/2016 | | D | 58,270 (4) | (4) | <u>(4)</u> | Common Stock | 58,270 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| EAVES JOHN W ONE CITYPLACE DRIVE ST. LOUIS, MO 63141 | Х | | CEO | | | | |
| Signatures | | | | | | | |
| /s/ Rosemary L. Klein, Attorney-in-Fact | | 10/07/2016 | | | | | |
| | | | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.
- (2) Represents vested employee stock options cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.
- (3) Represents Performance Stock Awards cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.
- (4) Represents Restricted Stock Units cancelled for no value on October 5, 2016, the Effective Date of Arch Coal, Inc.'s Fourth Amended Joint Plan of Reorganization and the date on which Arch Coal, Inc. emerged from Chapter 11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.