### Edgar Filing: OCEANFIRST FINANCIAL CORP - Form 4

OCEANFIRST FINANCIAL CORP Form 4 November 03, 2016

#### OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading IANTOSCA JOSEPH R Issuer Symbol OCEANFIRST FINANCIAL CORP (Check all applicable) [OCFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Officer (give title \_\_\_\_X\_\_\_ Other (specify (Month/Day/Year) below) below) 975 HOOPER AVENUE 11/02/2016 EVP, CAO, OceanFirst Bank (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting TOMS RIVER, NJ 08754 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) Owned Indirect (I) Ownership (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s)

		Code	v	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	03/01/2016	S		2,152	D	\$ 20.56	9,200	D <u>(1)</u>	
Common Stock							16,912	Ι	By 401(k)
Common Stock							11,536	Ι	BY ESOP (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secun (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 20.25					03/02/2008	03/02/2017	Common Stock	6,750	
Stock Option (Right to Buy)	\$ 16.81					02/20/2009	02/20/2018	Common Stock	7,088	
Stock Option (Right to Buy)	\$ 12.28					02/18/2010	02/18/2019	Common Stock	7,088	
Stock Option (Right to Buy)	\$ 10.11					02/11/2011	02/11/2020	Common Stock	9,925	
Stock Option (Right to Buy)	\$ 13.87					02/18/2012	02/18/2021	Common Stock	10,125	
Stock Option (Right to Buy)	\$ 13.83					02/15/2013	02/15/2022	Common Stock	10,125	
Stock Option (Right to Buy)	\$ 14.62					02/15/2014	02/15/2023	Common Stock	11,250	
	\$ 14.55					06/17/2014	06/17/2023		11,250	

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Stock Option (Right to Buy)				Common Stock	
Stock Option (Right to Buy)	\$ 17.75	03/01/2015	03/19/2024	Common Stock	24,375
Stock Option (Right to Buy)	\$ 17.37	03/01/2016	03/18/2025	Common Stock	30,000
Stock Option (Right to Buy)	\$ 17.28	03/01/2017	03/16/2026	Common Stock	37,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
F8	Director	10% Owner	Officer	Other			
IANTOSCA JOSEPH R 975 HOOPER AVENUE TOMS RIVER, NJ 08754				EVP, CAO, OceanFirst Bank			
Signatures							
/s/ Steven J. Tsimbinos, Power Attorney	of	11/0	)3/2016				

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted stock to vest in the future.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) Includes shares acquired from the OceanFirst Bank Matching Contribution Employee Stock Ownership Plan, which merged with the OceanFirst Employee Stock Ownership Plan effective December 31, 2015.
- (4) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.