#### OCEANFIRST FINANCIAL CORP

Form 4/A

November 09, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

3235-0287 Number:

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

Estimated average burden hours per

response... 0.5

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

may continue. See Instruction

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

IANTOSCA	Symbol	Symbol OCEANFIRST FINANCIAL CORP					Issuer (Cl. 1, 11, 11, 11)					
		[OCFC]			(Check all applicable)							
(Last)	(First) (M		2) 3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner Officer (give titleX Other (specify					
975 HOOPE	11/07/2	11/07/2016				below) below) EVP, CAO, OceanFirst Bank						
	(Street) 4. If Amendment, Date Origin						6. Individual or Joint/Group Filing(Check					
	Filed(Month/Day/Year) 11/03/2016				Applicable Line) _X_ Form filed by One Reporting Person							
TOMS RIVI							Form filed by More than One Reporting Person					
(City)	(State)	(Zip) Tab	le I - Non-D	erivative S	Securi	ities Acq	quired, Disposed	of, or Beneficia	lly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securi on(A) or Di (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)					
Common Stock	11/07/2016		S	2,700	D	\$ 20.9	8,652	D (1)				
Common Stock							16,912	I	By 401(k)			
Common Stock							11,536	I	BY ESOP (2) (3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 20.25					03/02/2008	03/02/2017	Common Stock	6,750
Stock Option (Right to Buy)	\$ 16.81					02/20/2009	02/20/2018	Common Stock	7,088
Stock Option (Right to Buy)	\$ 12.28					02/18/2010	02/18/2019	Common Stock	7,088
Stock Option (Right to Buy)	\$ 10.11					02/11/2011	02/11/2020	Common Stock	9,925
Stock Option (Right to Buy)	\$ 13.87					02/18/2012	02/18/2021	Common Stock	10,125
Stock Option (Right to Buy)	\$ 13.83					02/15/2013	02/15/2022	Common Stock	10,125
Stock Option (Right to Buy)	\$ 14.62					02/15/2014	02/15/2023	Common Stock	11,250
	\$ 14.55					06/17/2014	06/17/2023		11,250

8. Pri Deriv Secur (Instr

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Stock Option (Right to Buy)				Common Stock	
Stock Option (Right to Buy)	\$ 17.75	03/01/2015	03/19/2024	Common Stock	24,375
Stock Option (Right to Buy)	\$ 17.37	03/01/2016	03/18/2025	Common Stock	30,000
Stock Option (Right to Buy)	\$ 17.28	03/01/2017	03/16/2026	Common Stock	37,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
IANTOSCA JOSEPH R							
975 HOOPER AVENUE				EVP, CAO, OceanFirst Bank			
TOMS RIVER, NJ 08754							

## **Signatures**

/s/ Steven J. Tsimbinos, Power of
Attorney

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes shares of restricted stock to vest in the future.
- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) Includes shares acquired from the OceanFirst Bank Matching Contribution Employee Stock Ownership Plan, which merged with the OceanFirst Employee Stock Ownership Plan effective December 31, 2015.
- (4) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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