#### Edgar Filing: Intra-Cellular Therapies, Inc. - Form 4

Intra-Cellular Therapies, Inc. Form 4 January 05, 2017

January 05, 20	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287			
if no longe subject to	Check this box if no longer STATEMENT OF CHANCES IN DENEFICIAL OWNEDSHID OF							Expires: Estimated a burden hou				
Form 4 or Form 5 obligations may contir <i>See</i> Instruct 1(b).	Section 1	7(a) of the		ility Ho	oldi	ng Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	response	•	
(Print or Type Re	esponses)											
Vanover Kimberly E. Sym			Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol Intra-Cellular Therapies, Inc. [ITCI]					5. Relationship of Reporting Person(s) to Issuer			
(Last)					f Earliest Transaction				(Check all applicable)			
C/O INTRA- THERAPIES 29TH STREE	CELLULAR , INC., 430 E	. ,	(Month/Da 01/03/20	ay/Year)	110				Director X_ Officer (give below) SVP, Cli		o Owner er (specify nent	
				nendment, Date Original Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORK	, NY 10016								Form filed by M Person	Nore than One Re	porting	
(City)	(State)	(Zip)	Table	I - Non	-De	rivative S	ecuri	ties Aco	quired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8	3)	4. Securit nAcquired Disposed (Instr. 3, Amount	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/04/2017			М		1,553	A	<u>(1)</u>	10,928	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	nDerivative Ex		Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha	
Stock Option (right to buy)	\$ 15.73	01/03/2017		A	31,858		(2)	01/03/2027	Common Stock	31,8:	
Restricted Stock Units	<u>(3)</u>	01/03/2017		А	7,946		(4)	(4)	Common Stock	7,94	
Restricted Stock Units	<u>(3)</u>	01/04/2017		М		1,553	(5)	(5)	Common Stock	1,55	

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# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Vanover Kimberly E. C/O INTRA-CELLULAR THERAPIES, INC. 430 EAST 29TH STREET NEW YORK, NY 10016			SVP, Clinical Development				
Signatures							
/s/ Lawrence J. Hineline,							
Attorney-in-fact	01/05/2017	7					

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- (2) On January 3, 2017, the reporting person was granted options to purchase 31,858 shares of common stock, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (3) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (4) On January 3, 2017, the reporting person was granted 7,946 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (5) On January 4, 2016, the reporting person was granted 4,661 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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