

TAYLOR JOHN  
Form 4/A  
August 11, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TAYLOR JOHN

2. Issuer Name and Ticker or Trading Symbol  
HOPE BANCORP INC [HOPE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
3200 WILSHIRE BLVD., SUITE 1400

3. Date of Earliest Transaction (Month/Day/Year)  
08/08/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
08/10/2017

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOS ANGELES, CA 90010

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 08/08/2017                           |  | A                              | 28,136 A  | (1) 28,136  | I (2)  | Taylor Living Trust                                   |
| Common Stock                    |                                      |  |                                |   | 512 (3)   | I  | Taylor Living Trust                                   |
| Common Stock (Restricted)       |                                      |  |                                |   | 1,068 (4)   | I  | Taylor Living Trust                                   |
| Common Stock                    |                                      |  |                                |   | 4,500 (5)   | D  |   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Stock Option (right to buy)                | (1)  | 08/08/2017                           |  | D                              | 28,136  | 08/01/2016 11/19/2021                                    | Common Stock 28,  |
| Restricted Stock Units                     | (5)  |                                      |  |                                |   | (5) (5)  | Common Stock 9,   |
| Non-qualified stock option (right to buy)  | \$ 17.18   |                                      |  |                                |   | (6) 09/01/2026   | Common Stock 20,  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| TAYLOR JOHN<br>3200 WILSHIRE BLVD.<br>SUITE 1400<br>LOS ANGELES, CA 90010 | X             |           |         |       |

## Signatures

/s/by Claire Hur as AIF for John Taylor 08/11/2017

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received pursuant to the merger between BBCN Bancorp, Inc. and Wilshire Bancorp, Inc. effective July 29, 2016 (the "Merger"), in exchange for a stock option to acquire 40,000 shares of Wilshire Bancorp, Inc. common stock.

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- (2) Amended from previously filed Form 4, which corrects ownership form as "Indirect", to reflect ownership held in reporting person's trust.
- (3) Received in exchange for 728 shares of Wilshire Bancorp, Inc. common stock at the exchange ratio of 0.7034 per share as provided by the Merger Agreement between Wilshire Bancorp, Inc. and BBCN Bancorp, Inc. (now Hope Bancorp, Inc.).
- (4) Received in exchange for 2,235 shares of Wilshire Bancorp, Inc. common stock (Restricted Stock) in connection with the Merger. 1,068 represents Restricted Stock still outstanding including any accrued dividend to date. These shares will vest according to previously disclosed vesting schedules.
- (5) Total 13,500 restricted stock units were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation Plan. Each restricted stock unit represents a contingent right to receive one share of HOPE Bancorp, Inc. common stock. 1/3 of the award vested immediately on the grant date, with the remaining 2/3 vesting in 2 equal annual installments thereafter.
- (6) These options were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation Plan. 1/3 of the options vested immediately on the grant date, with the remaining 2/3 vesting in two equal annual installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.