### Edgar Filing: LERNER RICHARD A - Form 4/A

LERNER RICHARD A Form 4/A January 03, 2018											
FORM A								OMB APPROVAL			
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Subject to Section 16. Form 4 or	F CHANGE SI	ES IN I ECUR	BENEF ITIES	<b>CIA</b>		NERSHIP OF	Expires: Estimated a burden hou response	irs per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Responses)											
1. Name and Address of Repo LERNER RICHARD A	Symbol	r Name <b>and</b> Ticker or Trading ellular Therapies, Inc. [ITCI]				5. Relationship of Reporting Person(s) to Issuer					
(Last) (First)							(Chec)	(Check all applicable)			
C/O INTRA-CELLULA THERAPIES, INC., 430 29TH STREET	(Month/Day/ 12/29/2017	ay/Year)				Officer (give title 10% Owner Officer (give title Other (specify below)					
(Street) 4. If Amend Filed(Month 01/03/201 NEW YORK, NY 10016				-			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
							Person				
(City) (State)	(Zip)						uired, Disposed of		-		
	n Date 2A. Deer Year) Execution any (Month/I	n Date, if Tr Cc Day/Year) (Ir	ransactio ode nstr. 8)	4. Securi n(A) or Di (Instr. 3, Amount	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common 12/29/2017 Stock	,	1	A	949	A	\$ 14.48	9,570	D			
Common Stock							53,000	I	Held by the Lerner Family Trust UAD 11/14/94		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed			Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D) (Instr. 3, 4, and 5)						(Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / AddressRelationshipDirector10% OwnerOfficerOtherLERNER RICHARD A<br/>C/O INTRA-CELLULAR THERAPIES, INC.<br/>430 EAST 29TH STREET<br/>NEW YORK, NY 10016XXXXSignatures<br/>/s/ Lawrence J. Hineline,<br/>Attorney-in-fact01/03/2018VVV

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dr. Lerner may be deemed to beneficially own securities held by the Lerner Family Trust UAD 11/14/94 (the "Lerner Family Trust"). Dr. Lerner disclaims beneficial ownership of securities held by the Lerner Family Trust except to the extent of his pecuniary interest therein.

#### **Remarks:**

This amendment to Form 4 is filed solely to reflect that the correct Transaction Code for the reported transaction is "A".

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.