Hussain Muhammad Raghib Form 4

January 22, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Check this box subject to

if no longer Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

OMB APPROVAL

OMB Number:

3235-0287 January 31,

2005

Expires:

Estimated average burden hours per

response... 0.5

(Print or Type Responses)

(Last)

(City)

(Instr. 3)

Common

stock

1. Name and Address of Reporting Person * Hussain Muhammad Raghib

> (First) (Middle)

C/O CAVIUM, INC., 2315 N. FIRST STREET

(Street)

(State)

01/19/2018

2. Issuer Name and Ticker or Trading Symbol

CAVIUM, INC. [CAVM]

3. Date of Earliest Transaction (Month/Day/Year)

01/19/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify below)

below) Chief Operating Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(D) or

Indirect (I)

(Instr. 4)

Person

5. Amount of

Beneficially

Securities

SAN JOSE, CA 95131

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Zip)

any (Month/Day/Year) Transaction(A) or Disposed of Code (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A)

4. Securities Acquired

Following Reported Transaction(s) (Instr. 3 and 4)

362,202

Owned

Code V Amount (D) Price $A^{(1)}$ 32,264

Α

<u>(1)</u>

See I (2) footnote (3)

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

Form: Direct Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security	Acquired									Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
							Expiration Date		or		
								(Number		
				~	<i>(</i> 1) (5)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hussain Muhammad Raghib C/O CAVIUM, INC. 2315 N. FIRST STREET SAN JOSE, CA 95131

Chief Operating Officer

Signatures

/s/ Arthur D. Chadwick with Power of Attorney

01/22/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This is a Restricted Stock Unit (RSU) grant with a 4-year vesting term. The first 25% of this RSU grant will vest on January 31, 2019 and (1) an additional 25% will vest thereafter on January 31st of each following year. On each vesting date, for each RSU vesting on such date, the reporting person will receive on share of Cavium's common stock at no cost.
- (2) Both direct and indirect holding. See footnote 3.
- (3) Of the amount of securities beneficially owned following reported transaction, 36,000 shares are owned indirectly by the reporting person through multiple family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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