#### Lindell John Form 3/A March 28, 2018 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Lindell John		2. Date of Event Requirin Statement (Month/Day/Year)		3. Issuer Name <b>and</b> Ticker or Trading Symbol SunOpta Inc. [STKL]				
(Last)	(First)	(Middle)	02/05/2018		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
7301 OHMS LANE SUITE 600							02/15/2018	
	(Street)			(Check	(Check all applicable) 6. Individual or Join			
EDINA, M	NÂ 55439			X Officer (give title belo	Director 10% Owner X_Officer Other (give title below) (specify below) SVP, Fruit		Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned					
1.Title of Secur (Instr. 4)	ity		2. Amoun Beneficial (Instr. 4)	t of Securities ly Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)								
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Beneficially Owned ( <i>e.g.</i> , puts, calls, warrants, options, convertible securities)								

1. Title of Derivative Security	2. Date Exer	cisable and	3. Title and A	Amount of	4.	5.	6. Nature of Indirect
(Instr. 4) Expiration Date		late	Securities Underlying		Conversion	Ownership	Beneficial
(Month/Day/Year)		Derivative Security		or Exercise	Form of	Ownership	
			(Instr. 4)		Price of	Derivative	(Instr. 5)
Dete	Data	Expiration le Date			Derivative	Security:	
	Date Exercisable			Amounton	Security	Direct (D)	
	Exercisable		Title	Amount or Number of		or Indirect	
			The	Shares		(I)	
				Silaies		(Instr. 5)	

January 31,

2005

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Expires:

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#### Edgar Filing: Lindell John - Form 3/A

Non-Qualified Stock Option (Right to Buy)	(1)	05/24/2027	Common Shares	10,947 <u>(2)</u> \$ 9.5	D	Â
Incentive Stock Options (Right to Buy)	(1)	05/24/2027	Common Shares	10,526 <u>(2)</u> \$ 9.5	D	Â
Restricted Stock Units	( <u>3)</u>	(4)	Common Shares	19,240 (2) (5)	D	Â
Performance Share Units	(6)	(4)	Common Shares	24,050 <u>(2)</u> \$ <u>(7)</u>	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Lindell John 7301 OHMS LANE SUITE 600 EDINA, MN 55439	Â	Â	Â SVP, Fruit	Â		

### Signatures

/s/ Jill Barnett,	03/28/2018
attorney-in-fact	03/28/2018

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The grant cliff vests after 3 years on May 24, 2020.
- (2) These shares were omitted from the reporting person's original Form 3.
- (3) On May 24, 2017 the reporting person was granted 19,240 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (4) This award has no expiration date. Units will either vest or be forfeit.
- (5) Each Restricted Stock Unit represents a contingent right to receive one share of STKL common stock.

The Performance Stock Units will vest, if at all, on May 24, 2020 based upon meeting the following stock performance conditions for 20 consecutive trading days: one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$11.00, one-third of

- (6) consecutive finding days, one-third of the reformance Stock Onits engine to vest upon achieving a stock price of \$11.00, one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$18.00.
- (7) Each Performance Stock Unit represents a contingent right to receive one share of STKL common stock.

### Â **Remarks:** EXHIBITÂ LIST Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.