

Lindell John  
Form 3/A  
March 28, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Lindell John			(Month/Day/Year)	SunOpta Inc. [STKL]	
(Last)	(First)	(Middle)	02/05/2018	4. Relationship of Reporting Person(s) to Issuer	
7301 OHMS LANE SUITE 600				(Check all applicable)	
(Street)				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below)    (specify below) SVP, Fruit	
EDINA,Â MNÂ 55439				5. If Amendment, Date Original Filed(Month/Day/Year)	
(City)	(State)	(Zip)		02/15/2018	
				6. Individual or Joint/Group Filing(Check Applicable Line)	
				<input checked="" type="checkbox"/> Form filed by One Reporting Person	
				<input type="checkbox"/> Form filed by More than One Reporting Person	

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable    Expiration Date	Title    Amount or Number of Shares			

## Edgar Filing: Lindell John - Form 3/A

Non-Qualified Stock Option (Right to Buy)	Â (1)	05/24/2027	Common Shares	10,947 (2)	\$ 9.5	D	Â
Incentive Stock Options (Right to Buy)	Â (1)	05/24/2027	Common Shares	10,526 (2)	\$ 9.5	D	Â
Restricted Stock Units	Â (3)	Â (4)	Common Shares	19,240 (2)	\$ (5)	D	Â
Performance Share Units	Â (6)	Â (4)	Common Shares	24,050 (2)	\$ (7)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lindell John 7301 OHMS LANE SUITE 600 EDINA, MN 55439	Â	Â	Â SVP, Fruit	Â

## Signatures

/s/ Jill Barnett,  
attorney-in-fact

03/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The grant cliff vests after 3 years on May 24, 2020.
- (2) These shares were omitted from the reporting person's original Form 3.
- (3) On May 24, 2017 the reporting person was granted 19,240 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (4) This award has no expiration date. Units will either vest or be forfeit.
- (5) Each Restricted Stock Unit represents a contingent right to receive one share of STKL common stock.
- (6) The Performance Stock Units will vest, if at all, on May 24, 2020 based upon meeting the following stock performance conditions for 20 consecutive trading days: one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$11.00, one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$14.00, and one-third of the Performance Stock Units eligible to vest upon achieving a stock price of \$18.00.
- (7) Each Performance Stock Unit represents a contingent right to receive one share of STKL common stock.

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### Remarks:

EXHIBITÂ LIST

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.