

ONeill Thomas Emmet III  
 Form 4  
 June 28, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**ONeill Thomas Emmet III**  
  
 (Last) (First) (Middle)  
 2021 SPRING ROAD, SUITE 600  
  
 (Street)  
 OAK BROOK, IL 60523  
  
 (City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**TreeHouse Foods, Inc. [THS]**  
  
 3. Date of Earliest Transaction  
 (Month/Day/Year)  
**06/26/2018**  
  
 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer  
  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
**Exec VP, Gen Counsel & CAO**  
  
 6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
| Common Stock                    | 06/26/2018                           |  | M <sup>(1)</sup>               | 1,170   | A \$ 0  | 134,416  | D   |
| Common Stock                    | 06/26/2018                           |  | F <sup>(2)</sup>               | 329   | D \$ 53.71  | 134,087  | D   |
| Common Stock                    | 06/26/2018                           |  | A <sup>(3)</sup>               | 1,172   | A \$ 0  | 135,259  | D   |
| Common Stock                    | 06/26/2018                           |  | F <sup>(4)</sup>               | 330   | D \$ 53.71  | 134,929  | D   |
| Common Stock                    | 06/27/2018                           |  | M <sup>(1)</sup>               | 1,083   | A \$ 0  | 136,012  | D   |

Edgar Filing: O'Neill Thomas Emmet III - Form 4

Common Stock      06/27/2018      F(2)      305      D      \$ 53.22      135,707      D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Value of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|
| Restricted Stock Unit                      | (5)  | 06/26/2018                           |  | M(1)                           | 1,170   | (6)      (6)   | Common Stock  | 1,170   |
| Restricted Stock Unit                      | (5)  | 06/27/2018                           |  | M(1)                           | 1,083   | (7)      (7)   | Common Stock  | 1,083   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships   |
|---|---|
| ONEILL Thomas Emmet III<br>2021 SPRING ROAD<br>SUITE 600<br>OAK BROOK, IL 60523 | Director    10% Owner    Officer    Other<br><br>Exec VP, Gen Counsel & CAO |

## Signatures

Thomas E. O'Neill      06/28/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Settlement of restricted stock units into shares of common stock.
- (2) Shares withheld to satisfy minimum tax withholding requirements upon vesting of restricted stock units.
- (3) Settlement of non-derivative performance units for the performance period ended December 31, 2017, granted under the TreeHouse Equity and Incentive Plan and exempt from liability under Section 16(b) of the Securities Exchange Act pursuant to Rule 16b-3(d).
- (4) Shares withheld to satisfy minimum tax withholding requirements upon settlement of non-derivative performance units.
- (5) Each restricted stock unit represents a contingent right to receive one share of common stock of TreeHouse Foods, Inc.
- (6) The grant date of the restricted stock units was June 26, 2015. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.
- (7) The grant date of the restricted stock units was June 27, 2016. The restricted stock units vest and settle in stock or cash in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.