## Edgar Filing: LERNER RICHARD A - Form 4

LERNER RI Form 4 July 03, 2013											
FORM								OMB AF	PROVAL		
	UNITEDS	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						OMB Number:	3235-0287		
if no long subject to Section 1 Form 4 o	ger 5 <b>STATEM</b> 6. r							Expires: Estimated a burden hou response			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
LERNER RICHARD A Symbol				d Ticker or nerapies, 1		-	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M		of Earliest T	-	inc. [	iicij	(Check all applicable)				
C/O INTRA THERAPIE	of Earnest 1 /Day/Year) 2018	ransaction			X_ Director10% Owner Officer (give titleOther (specify below) below)						
29TH STRE	EET										
(Street) 4. If Amende Filed(Month/				-	1		<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
NEW YOR	K, NY 10016						Person		porting		
(City)	(State) (2	Zip) Ta	ble I - Non-	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)		ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock							10,223	D			
Common Stock	06/29/2018		А	778	A	\$ 17.67	53,778	I	Held by the Lerner Family Trust UAD 11/14/94 (1)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / AddressRelationshipDirector10% OwnerOfficerOtherLERNER RICHARD A<br/>C/O INTRA-CELLULAR THERAPIES, INC.<br/>430 EAST 29TH STREET<br/>NEW YORK, NY 10016XXXXSignatures<br/>/s/ Lawrence J. Hineline,<br/>Attorney-in-fact07/03/2018VVV

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dr. Lerner may be deemed to beneficially own securities held by the Lerner Family Trust UAD 11/14/94 (the "Lerner Family Trust"). Dr. Lerner disclaims beneficial ownership of securities held by the Lerner Family Trust except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.